

## STATISTICAL REPORT

February 1, 2007

# Supplement: Worldwide Mutual Fund Market Data, Third Quarter 2006, (pdf)

SUPPLEMENTARY TABLES Table S1 TOTAL NET ASSETS IN U.S. DOLLARS\$1 Millions, end of period Q3 Q4 Q1 Q2 Q3 World 11,324,128 14,048,311 16,164,793 17,284,958 17,771,025 19,112,538 19,416,016 20,218,128 Americas 6,776,289 7,969,541 8,792,450 9,432,829 9,763,919 10,317,350 10,315,449 10,749,277 Argentina 1,021 1,916 2,355 3,537 3,626 4,785 4,502 4,811 Brazil 96,729 171,596 220,586 303,349 302,927 360,688 375,783 394,613 Canada 248,979 338,369 413,772 476,549 490,518 520,836 527,623 545,602 Chile 6,705 8,552 12,588 15,832 13,969 15,328 15,376 16,671 Costa Rica 1,738 2,754 1,053 924 804 986 991 1,069 Mexico 30,759 31,953 35,157 43,464 47,253 52,045 53,435 58,753 United States 6,390,358 7,414,401 8,106,939 8,589,175 8,904,822 9,362,682 9,337,740 9,727,758 Europe 3,463,000 4,682,836 5,640,450 5,921,258 6,002,261 6,629,168 6,866,033 7,169,754 Austria 66,877 87,982 103,709 107,729 109,002 116,719 117,132 120,132 Belgium 74,983 98,724 118,373 115,561 115,314 122,554 124,631 129,328 Czech Republic 3,297 4,083 4,860 5,249 5,331 5,730 5,879 5,952 Denmark 40,153 49,533 64,799 71,112 75,199 80,845 82,292 86,588 Finland 16,516 25,601 37,658 45,503 45,415 52,661 56,202 58,987 France 845,147 1,148,446 1,370,954 1,406,024 1,362,671 1,506,222 1,587,091 1,658,966 Germany 209,168 276,319 295,997 298,935 296,787 315,766 316,550 320,916 Greece 26,621 38,394 43,106 34,688 32,011 30,776 28,135 26,457 Hungary 3,992 3,936 4,966 6,852 6,068 6,205 5,776 6,362 Ireland 250,116 360,425 467,620 519,514 546,242 595,615 639,622 726,697 Italy 378,259 478,734 511,733 463,513 450,514 451,407 445,177 442,706 Liechtenstein 3,847 8,936 12,543 13,488 13,970 15,035 15,912 16,404 Luxembourg 803,869 1,104,112 1,396,131 1,564,947 1,635,785 1,847,160 1,907,504 1,988,166 Netherlands 84,211 93,573 102,134 91,307 94,357 99,548 99,774 102,478 Norway 15,471 21,994 29,907 34,622 40,122 43,401 45,276 45,441 Poland 5,468 8,576 12,014 15,510 17,652 20,788 22,347 23,138 Portugal 19,969 26,985 30,514 29,012 28,801 29,629 30,357 30,005 Romania 27 29 72 95 109 158 169 191 Russia 372 851 1,347 2,027 2,417 3,326 4,020 4,334 Slovakia 1,061 2,168 2,954 3,035 3,043 2,837 2,765 Spain 179,133 255,344 317,538 316,145 316,864 336,938 352,622 356,208 Sweden 57,992 87,746 107,064 116,761 119,059 145,373 145,517 153,351 Switzerland 82,622 90,772 94,407 105,800 116,669 143,896 141,689 147,198 Turkey 6,002 14,157 18,112 20,793 21,761 21,699 16,054 15,505 United Kingdom 288,887 396,523 492,726 533,118 547,103 634,673 673,468 701,478 Asia and Pacific 1,063,857 1,361,473 1,677,887 1,869,511 1,939,251 2,091,720 2,171,054 2,235,201 Australia 356,304 518,411 635,073 693,021 700,068 723,703 762,724 777,254 Hong Kong 164,322 255,811 343,638 444,215 460,517 534,605 545,181 567,008 India 20,364 29,800 32,846 43,074 40,546 43,412 50,280 52,874 Japan 303,191 349,148 399,462 429,915 470,044 496,511 514,024 527,179 Korea, Rep. of 149,544 121,663 177,417 183,430 198,994

222,903 228,277 241,937 New Zealand 7,505 9,641 11,171 10,603 10,332 10,067 10,844  
11,659 Pakistan 2,068 1,915 2,146 Philippines 474 792 952 1,373 1,449 1,748 1,116 1,272  
Taiwan 62,153 76,205 77,328 63,880 57,301 56,703 56,691 53,870 Africa 20,983 34,460  
54,006 61,359 65,594 74,301 63,480 63,896 South Africa 20,983 34,460 54,006 61,359  
65,594 74,301 63,480 63,896 Note: Components may not sum to total because of rounding.  
2 Before 2003, data include special funds reserved to institutional investors. 20062003  
Source: National mutual fund associations; European Fund and Asset Management  
Association (EFAMA, formerly FEFSI) provides data for all European countries except  
Romania and Russia. 1 Funds of funds are not included, except for France, Italy, and  
Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which  
include home- and foreign-domiciled funds. COUNTRY 2002 2004 2005 1/29/2007  
SUPPLEMENTARY TABLES Table S2 TOTAL NET ASSETS IN EUROS<sup>1</sup> Millions, end of period Q3  
Q4 Q1 Q2 Q3 World 10,798,253 11,122,970 11,867,553 14,353,893 15,064,021 15,790,266  
15,272,568 15,970,085 Americas 6,461,609 6,310,009 6,455,070 7,833,275 8,276,612  
8,523,918 8,114,095 8,490,740 Argentina 974 1,517 1,729 2,937 3,073 3,953 3,541 3,800  
Brazil 92,237 135,864 161,945 251,910 256,783 297,990 295,589 311,700 Canada 237,417  
267,909 303,775 395,739 415,799 430,301 415,027 430,965 Chile 6,393 6,772 9,242  
13,148 11,841 12,664 12,094 13,168 Costa Rica 1,658 2,180 773 767 681 815 779 845  
Mexico 29,331 25,299 25,811 36,093 40,055 42,998 42,032 46,409 United States  
6,093,600 5,870,468 5,951,794 7,132,681 7,548,378 7,735,197 7,345,033 7,683,853  
Europe 3,302,183 3,707,709 4,140,996 4,917,172 5,087,955 5,476,840 5,400,796  
5,663,313 Austria 63,771 69,661 76,139 89,461 92,398 96,430 92,136 94,891 Belgium  
71,501 78,166 86,905 95,965 97,749 101,251 98,034 102,155 Czech Republic 3,144 3,233  
3,568 4,359 4,519 4,734 4,624 4,702 Denmark<sup>2</sup> 38,289 39,219 47,573 59,054 63,744  
66,792 64,731 68,395 Finland 15,749 20,270 27,647 37,787 38,497 43,507 44,208 46,593  
France 805,900 909,300 1,006,500 1,167,600 1,155,100 1,244,400 1,248,400 1,310,400  
Germany 199,455 218,780 217,309 248,244 251,578 260,877 248,997 253,488 Greece  
25,385 30,399 31,647 28,806 27,135 25,426 22,131 20,898 Hungary 3,807 3,116 3,646  
5,690 5,144 5,127 4,543 5,026 Ireland 238,501 285,372 343,308 431,418 463,035 492,081  
503,124 574,010 Italy 360,693 379,045 375,694 384,914 381,889 372,940 350,175  
349,689 Liechtenstein 3,668 7,076 9,209 11,201 11,842 12,421 12,517 12,957  
Luxembourg 766,539 874,198 1,024,984 1,299,574 1,386,611 1,526,074 1,500,436  
1,570,431 Netherlands 80,300 74,088 74,983 75,824 79,984 82,244 78,482 80,946 Norway  
14,753 17,414 21,956 28,751 34,010 35,857 35,614 35,894 Poland 5,214 6,790 8,820  
12,880 14,963 17,175 17,578 18,277 Portugal 19,042 21,366 22,402 24,092 24,414 24,479  
23,879 23,701 Romania 26 23 53 79 92 131 133 151 Russia 355 674 989 1,683 2,049  
2,748 3,162 3,423 Slovakia 840 1,592 2,453 2,573 2,514 2,232 2,184 Spain 170,814  
202,173 233,124 262,535 268,597 278,369 277,371 281,365 Sweden 55,298 69,474  
78,602 96,961 100,923 120,104 114,463 121,131 Switzerland 78,785 71,870 69,310  
87,859 98,897 118,883 111,452 116,270 Turkey 5,724 11,209 13,297 17,267 18,446  
17,927 12,628 12,247 United Kingdom 275,471 313,953 361,740 442,716 463,765 524,350  
529,747 554,090 Asia and Pacific 1,014,453 1,077,967 1,231,838 1,552,492 1,643,851  
1,728,123 1,707,743 1,765,561 Australia 339,758 410,460 466,246 575,504 593,429  
597,904 599,956 613,945 Hong Kong 156,691 202,543 252,286 368,888 390,368 441,676  
428,837 447,874 India 19,418 23,595 24,114 35,770 34,370 35,865 39,550 41,765 Japan  
289,111 276,444 293,269 357,013 398,444 410,204 404,330 416,413 Korea, Rep. of  
142,600 96,329 130,253 152,325 168,682 184,157 179,562 191,104 New Zealand 7,156  
7,633 8,201 8,805 8,758 8,317 8,530 9,210 Pakistan 1,708 1,507 1,695 Philippines 452 627  
699 1,140 1,228 1,445 878 1,005 Taiwan 59,267 60,337 56,771 53,047 48,572 46,846  
44,593 42,552 Africa 20,008 27,285 39,649 50,954 55,602 46,846 49,933 50,471 South  
Africa 20,008 27,285 39,649 50,954 55,602 61,385 49,933 50,471 Note: Components may

not sum to total because of rounding. 2 Before 2003, data include special funds reserved to institutional investors. 2006 Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 1 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-domiciled funds. 2002 COUNTRY 2003 2004 2005 1/29/2007 SUPPLEMENTARY TABLES Table S3 TOTAL NET ASSETS IN LOCAL CURRENCIES1 Millions,2 end of period Q3 Q4 Q1 Q2 Q3 Americas Argentina 3,432 5,614 7,000 10,287 10,986 14,743 13,890 14,933 Brazil 342,420 496,171 585,876 675,711 707,487 780,528 813,570 855,915 Canada 391,345 438,865 497,313 554,226 569,982 608,649 588,986 609,983 Chile 4,829,105 5,069,447 6,995,860 8,387,165 7,152,000 8,067,864 8,285,113 8,920,428 Costa Rica 654,316 1,143,566 482,794 450,389 399,288 498,836 508,702 557,633 Mexico 318,971 358,780 391,893 467,625 502,535 565,935 605,956 645,330 United States 6,390,358 7,414,401 8,106,939 8,589,175 8,904,822 9,362,682 9,337,740 9,727,758 Europe Austria 63,771 69,661 76,139 89,461 92,398 96,430 92,136 94,891 Belgium 71,501 78,166 86,905 95,965 97,749 101,251 98,034 102,155 Czech Republic 99,278 104,778 108,700 128,827 131,059 135,379 131,765 133,180 Denmark3 284,438 291,982 353,883 440,682 475,565 498,429 482,841 510,062 Finland 15,749 20,270 27,647 37,787 38,497 43,507 44,208 46,593 France 805,900 909,300 1,006,500 1,167,600 1,155,100 1,244,400 1,248,400 1,310,400 Germany 199,455 218,780 217,309 248,244 251,578 260,877 248,997 253,488 Greece 25,385 30,399 31,647 28,806 27,135 25,426 22,131 20,898 Hungary 899,556 818,039 896,804 1,420,215 1,300,715 1,362,333 1,287,266 1,371,971 Ireland 238,501 285,372 343,308 431,418 463,035 492,081 503,124 574,010 Italy 360,693 379,045 375,694 384,914 381,889 372,940 350,175 349,689 Liechtenstein 5,328 11,023 14,208 17,430 18,415 19,627 19,616 20,577 Luxembourg 766,539 874,198 1,024,984 1,299,574 1,386,611 1,526,074 1,500,436 1,570,431 Netherlands 80,300 74,088 74,983 75,824 79,984 82,244 78,482 80,946 Norway 107,337 146,526 180,842 226,469 271,570 285,691 282,633 295,584 Poland 20,964 31,926 36,026 50,471 57,758 67,712 71,272 72,582 Portugal 19,042 21,366 22,402 24,092 24,414 24,479 23,879 23,701 Romania4 91 93 210 282 339 460 472 533 Russia 11,889 24,878 37,328 57,755 69,476 92,152 107,912 116,130 Slovakia 33,753 61,673 95,142 97,448 94,592 85,586 81,651 Spain 170,814 202,173 233,124 262,535 268,597 278,369 277,371 281,365 Sweden 506,136 630,824 709,036 904,328 947,519 1,132,757 1,057,467 1,124,055 Switzerland 114,427 111,967 106,938 136,717 153,795 187,847 174,668 184,649 Turkey5 9,934 19,859 24,416 28,024 29,374 29,263 25,350 23,407 United Kingdom 179,194 221,274 255,063 301,932 317,818 365,157 366,638 375,507 Asia and Pacific Australia 634,435 689,383 813,910 909,452 955,313 1,010,217 1,027,515 1,042,065 Hong Kong 1,281,499 1,986,069 2,670,962 3,446,001 3,571,082 4,148,372 4,234,038 4,417,901 India 976,950 1,359,640 1,427,490 1,895,890 1,826,600 1,937,130 2,314,900 2,428,240 Japan 36,016,038 37,435,674 40,996,736 48,799,665 55,347,682 58,479,084 58,814,676 62,302,030 Korea, Rep. of 177,314,566 145,035,000 183,644,755 191,207,329 200,984,000 216,584,109 216,566,628 228,993,400 New Zealand 14,309 14,705 15,554 15,335 15,112 16,351 17,827 17,855 Pakistan 124,310 115,316 129,967 Philippines 25,391 43,991 53,512 76,932 76,915 89,266 59,317 63,908 Taiwan 2,161,077 2,593,275 2,450,539 2,119,912 1,881,930 1,840,228 1,835,545 1,783,005 Africa South Africa 179,826 230,344 305,945 390,856 415,131 458,920 455,341 496,425 3 Before 2003, data include special funds reserved to institutional investors. 4 Romania revalued their currency as of Q3 2005. Previous data reflect these changes. 2006 2 Local currency values for euro-area countries are reported in euros beginning in 1999 except for Greece, which adopted the euro in 2001. 2003 1 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand,

which include home- and foreign-domiciled funds. COUNTRY 2002 Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 2004 2005 1/29/2007 SUPPLEMENTARY TABLES Table S4 TOTAL NET ASSETS IN U.S. DOLLARS BY TYPE OF FUND,1 2006:Q3 Millions, end of period World 20,218,128 9,554,256 3,710,787 3,684,966 1,922,537 618,886 Americas 10,749,277 5,733,732 1,820,403 2,273,238 849,710 72,192 Argentina 4,811 2,446 894 1,319 151 0 Brazil 394,613 31,075 256,498 11,308 90,299 5,433 Canada 545,602 230,209 64,186 40,220 144,940 66,047 Chile 16,671 1,749 4,672 8,093 1,444 713 Costa Rica 1,069 1 246 822 0 0 Mexico 58,753 7,439 50,373 864 77 0 United States 9,727,758 5,460,812 1,443,534 2,210,612 612,799 0 Europe 7,169,754 2,608,668 1,552,397 1,101,235 966,338 214,421 Austria 120,132 21,803 70,264 12,726 14,532 808 Belgium 129,328 82,251 14,678 2,612 29,766 22 Czech Republic 5,952 472 1,129 3,114 1,122 114 Denmark 86,588 38,809 45,615 0 2,164 0 Finland 58,987 21,335 13,526 17,944 5,197 985 France 1,658,966 504,501 246,237 547,292 360,937 0 Germany 320,916 155,680 90,928 40,663 28,096 5,549 Greece 26,457 7,773 8,692 7,064 2,927 0 Hungary 6,362 982 1,385 3,608 132 256 Ireland 726,697 0 0 0 0 0 Italy 442,706 91,907 145,960 82,653 122,187 0 Liechtenstein 16,404 7,254 5,178 2,865 1,108 0 Luxembourg 1,988,166 791,178 591,046 275,521 161,963 168,458 Netherlands 102,478 52,498 19,369 2,423 14,084 14,102 Norway 45,441 25,515 6,494 11,346 1,967 119 Poland 23,138 4,598 2,875 2,492 13,043 130 Portugal 30,005 3,549 12,790 10,152 1,576 1,938 Romania 191 53 23 38 76 0 Russia 4,334 2,746 447 10 1,130 0 Slovakia 2,765 221 819 1,394 331 0 Spain 356,208 137,022 121,023 33,590 64,574 0 Sweden 153,351 107,361 8,367 9,662 23,596 4,366 Switzerland 147,198 57,548 36,465 14,081 39,106 0 Turkey 15,505 458 2,335 12,619 93 0 United Kingdom 701,478 493,155 106,752 7,366 76,631 17,575 Asia and Pacific 2,235,201 1,191,603 335,597 293,214 92,613 322,174 Australia 777,254 295,689 63,065 136,723 0 281,780 Hong Kong 567,008 385,811 112,165 31,449 29,863 7,721 India 52,874 20,162 7,532 21,910 1,541 1,729 Japan 527,179 421,008 83,283 22,888 0 0 Korea, Rep. of 241,937 52,403 53,911 56,640 54,222 24,762 New Zealand 11,659 2,216 1,224 715 3,761 3,744 Pakistan 2,146 1,510 77 353 119 87 Philippines 1,272 83 1,077 5 106 0 Taiwan 53,870 12,720 13,264 22,532 3,002 2,353 Africa 63,896 20,253 2,390 17,279 13,875 10,098 South Africa 63,896 20,253 2,390 17,279 13,875 10,098 Note: Components may not sum to total because of rounding or unclassified funds. 1 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-domiciled funds. Money Market Balanced/Mixed Other COUNTRY Total Equity Bond Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 1/29/2007 SUPPLEMENTARY TABLES Table S5 TOTAL NET ASSETS IN EUROS BY TYPE OF FUND,1 2006:Q3 Millions, end of period World 15,970,085 7,546,806 2,931,111 2,910,716 1,518,592 488,852 Americas 8,490,740 4,529,014 1,437,917 1,795,607 671,177 57,024 Argentina 3,800 1,932 706 1,042 119 0 Brazil 311,700 24,546 202,605 8,932 71,326 4,291 Canada 430,965 181,840 50,700 31,769 114,487 52,169 Chile 13,168 1,382 3,690 6,392 1,141 563 Costa Rica 845 1 194 649 0 0 Mexico 46,409 5,876 39,789 683 61 0 United States 7,683,853 4,313,438 1,140,232 1,746,139 484,043 0 Europe 5,663,313 2,060,559 1,226,222 869,854 763,300 169,369 Austria 94,891 17,222 55,501 10,052 11,479 638 Belgium 102,155 64,969 11,594 2,063 23,512 17 Czech Republic 4,702 373 892 2,460 886 90 Denmark 68,395 30,655 36,031 0 1,709 0 Finland 46,593 16,852 10,684 14,174 4,105 778 France 1,310,400 398,500 194,500 432,300 285,100 0 Germany 253,488 122,970 71,823 32,119 22,193 4,383 Greece 20,898 6,140 6,866 5,580 2,312 0 Hungary 5,026 776 1,094 2,850 104 202 Ireland 574,010 0 0 0 0 0 Italy 349,689 72,596 115,292 65,287 96,514 0 Liechtenstein 12,957 5,729 4,090 2,263 875 0 Luxembourg 1,570,431 624,943 466,861

217,631 127,933 133,063 Netherlands 80,946 41,468 15,299 1,914 11,125 11,139 Norway  
 35,894 20,154 5,130 8,962 1,554 94 Poland 18,277 3,632 2,271 1,969 10,302 103 Portugal  
 23,701 2,803 10,103 8,019 1,245 1,531 Romania 151 42 18 30 60 0 Russia 3,423 2,169  
 353 8 893 0 Slovakia 2,184 174 647 1,101 262 0 Spain 281,365 108,232 95,595 26,532  
 51,006 0 Sweden 121,131 84,803 6,609 7,632 18,638 3,449 Switzerland 116,270 45,457  
 28,803 11,122 30,889 0 Turkey 12,247 362 1,844 9,968 73 0 United Kingdom 554,090  
 389,538 84,322 5,818 60,530 13,882 Asia and Pacific 1,765,561 941,235 265,085 231,607  
 73,154 254,482 Australia 613,945 233,561 49,814 107,996 0 222,575 Hong Kong 447,874  
 304,748 88,598 24,841 23,588 6,099 India 41,765 15,926 5,949 17,307 1,218 1,366 Japan  
 416,413 332,550 65,784 18,079 0 0 Korea, Rep. of 191,104 41,393 42,584 44,739 42,829  
 19,559 New Zealand 9,210 1,751 967 565 2,970 2,957 Pakistan 1,695 1,192 61 279 94 69  
 Philippines 1,005 66 851 4 84 0 Taiwan 42,552 10,048 10,477 17,798 2,371 1,858 Africa  
 50,471 15,998 1,888 13,648 10,960 7,977 South Africa 50,471 15,998 1,888 13,648 10,960  
 7,977 Note: Components may not sum to total because of rounding or unclassified funds. 1  
 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled  
 funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-  
 domiciled funds. Money Market Balanced/Mixed Other COUNTRY Total Equity Bond Source:  
 National mutual fund associations; European Fund and Asset Management Association  
 (EFAMA, formerly FEFSI) provides data for all European countries except Romania and  
 Russia. 1/29/2007 SUPPLEMENTARY TABLES Table S6 TOTAL NET ASSETS IN LOCAL  
 CURRENCIES BY TYPE OF FUND, 1 2006:Q3 Millions, end of period Americas Argentina  
 14,933 7,593 2,776 4,095 469 - Brazil 855,915 67,402 556,344 24,526 195,859 11,784  
 Canada 609,983 257,374 71,760 44,966 162,043 73,840 Chile 8,920,428 936,081  
 2,499,783 4,330,409 772,628 381,527 Costa Rica 557,633 572 128,351 428,710 - - Mexico  
 645,330 81,709 553,279 9,494 848 - United States 9,727,758 5,460,812 1,443,534  
 2,210,612 612,799 - Europe Austria 94,891 17,222 55,501 10,052 11,479 638 Belgium  
 102,155 64,969 11,594 2,063 23,512 17 Czech Republic 133,180 10,570 25,269 69,684  
 25,100 2,558 Denmark 510,062 228,610 268,705 - 12,747 - Finland 46,593 16,852 10,684  
 14,174 4,105 778 France 1,310,400 398,500 194,500 432,300 285,100 - Germany 253,488  
 122,970 71,823 32,119 22,193 4,383 Greece 20,898 6,140 6,866 5,580 2,312 - Hungary  
 1,371,971 211,767 298,609 777,979 28,382 55,235 Ireland 574,010 - - - - Italy 349,689  
 72,596 115,292 65,287 96,514 - Liechtenstein 20,577 9,099 6,495 3,594 1,390 -  
 Luxembourg 1,570,431 624,943 466,861 217,631 127,933 133,063 Netherlands 80,946  
 41,468 15,299 1,914 11,125 11,139 Norway 295,584 165,966 42,242 73,804 12,798 774  
 Poland 72,582 14,424 9,017 7,818 40,914 408 Portugal 23,701 2,803 10,103 8,019 1,245  
 1,531 Romania 533 148 64 107 213 - Russia 116,130 73,588 11,971 280 30,291 - Slovakia  
 81,651 6,520 24,195 41,152 9,783 - Spain 281,365 108,232 95,595 26,532 51,006 -  
 Sweden 1,124,055 786,946 61,332 70,820 172,955 32,002 Switzerland 184,649 72,190  
 45,742 17,663 49,055 - Turkey 23,407 692 3,525 19,050 140 - United Kingdom 375,507  
 263,990 57,145 3,943 41,021 9,408 Asia and Pacific Australia 1,042,065 396,430 84,551  
 183,304 - 377,782 Hong Kong 4,417,901 3,006,087 873,941 245,035 232,680 60,157 India  
 2,428,240 925,940 345,890 1,006,220 70,790 79,400 Japan 62,302,030 49,754,772  
 9,842,396 2,704,862 - - Korea, Rep. of 228,993,400 49,599,400 51,026,800 53,609,600  
 51,320,700 23,436,900 New Zealand 17,855 3,394 1,874 1,095 5,759 5,733 Pakistan  
 129,967 91,409 4,690 21,393 7,224 5,251 Philippines 63,908 4,185 54,139 262 5,322 -  
 Taiwan 1,783,005 421,016 439,003 745,765 99,350 77,871 Africa South Africa 496,425  
 157,353 18,568 134,245 107,801 78,458 1 Funds of funds are not included, except for  
 France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and  
 New Zealand, which include home- and foreign-domiciled funds. Money Market  
 Balanced/Mixed Other COUNTRY Total Equity Bond Source: National mutual fund  
 associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI)

provides data for all European countries except Romania and Russia. 1/29/2007

| SUPPLEMENTARY TABLES Table S7 NET SALES IN U.S. DOLLARS1 Millions |             |               |                |         |              |               |           |         |             |         |             |                  |         |                | Q3         | Q4 | Q1 | Q2 | Q3 |
|-------------------------------------------------------------------|-------------|---------------|----------------|---------|--------------|---------------|-----------|---------|-------------|---------|-------------|------------------|---------|----------------|------------|----|----|----|----|
| World                                                             | 317,426     | 457,294       | 970,813        | 305,220 | 284,191      | 437,049       | 206,015   | 251,600 | Americas    |         |             |                  |         |                |            |    |    |    |    |
|                                                                   | 65,634      | 167,596       | 425,914        | 118,721 | 200,805      | 174,617       | 108,474   | 159,333 | Argentina   | 0       | 0           | 0                | 0       | 0              | 0          |    |    |    |    |
|                                                                   | 0           | 0             | Brazil         | 19,507  | 1,611        | 5,293         | 2,980     | -219    | 17,843      | 2,784   | 3,011       | Canada           | 3,793   | 18,453         | 31,295     |    |    |    |    |
|                                                                   | 6,374       | 11,835        | 10,633         | 2,955   | 4,306        | 0             | 0         | Chile   | 1,329       | 3,235   | 0           | 0                | 0       | 1,662          | 260        |    |    |    |    |
|                                                                   | 0           | 0             | 0              | 0       | 0            | 0             | 0         | Mexico  | 5,333       | 767     | 6,850       | 3,314            | 1,814   | 4,937          | 2,880      |    |    |    |    |
|                                                                   | 2,606       | United States | 35,672         | 143,530 | 382,477      | 106,054       | 187,375   | 139,542 | 99,595      | 148,571 | Europe      | 258,500          | 235,145 |                |            |    |    |    |    |
|                                                                   | 459,786     | 173,002       | 54,365         | 218,582 | 62,544       | 74,953        | Austria   | 4,010   | 6,874       | 16,240  | 3,333       | 3,684            |         |                |            |    |    |    |    |
|                                                                   | 3,612       | -1,894        | 283            | Belgium | 0            | 0             | 0         | 0       | 0           | 0       | 0           | Czech Republic   | 73      | -77            | 641        |    |    |    |    |
|                                                                   | 226         | 101           | 125            | -43     | -52          | Denmark       | 2         | 7,655   | 9,259       | 12,950  | 3,275       | 3,613            | 1,049   | 2,008          | 1,462      |    |    |    |    |
|                                                                   | Finland     | 5,038         | 6,512          | 6,371   | 1,137        | -418          | 4,779     | 2,789   | 1,496       | 0       | 0           | France           | 73,314  | 66,216         | 76,441     |    |    |    |    |
|                                                                   | 50,626      | -41,356       | 69,132         | 18,118  | 39,376       | Germany       | 6,045     | -8,688  | 10,557      | 5,808   | -1,240      | 1,533            | -3,052  | -4,609         | Greece     |    |    |    |    |
|                                                                   | 3,940       | 51            | -7,810         | -856    | -2,626       | -3,008        | -3,371    | -2,245  | Hungary     | 0       | 0           | 1,760            | 997     | -588           | 175        |    |    |    |    |
|                                                                   | -368        | 293           | Ireland        | 0       | 0            | 0             | 0         | 0       | 0           | 0       | 0           | Italy            | 6,725   | -37,142        | -19,215    |    |    |    |    |
|                                                                   | 1,204       | -7,870        | -15,875        | -20,833 | -8,444       | Liechtenstein | 3,844     | 2,444   | 1,363       | 593     | 368         | 224              | 714     | 40             | Luxembourg |    |    |    |    |
|                                                                   | 94,260      | 142,199       | 293,331        | 92,593  | 74,018       | 128,128       | 59,130    | 41,889  | Netherlands | 0       | 802         | -9,312           | -6,146  | -440           | 747        |    |    |    |    |
|                                                                   | -658        | 709           | Norway         | 2,548   | 2,967        | 8,231         | 1,334     | 5,592   | -20         | 1,071   | 473         | 0                | 0       | Poland         | 0          |    |    |    |    |
|                                                                   | 0           | 0             | Portugal       | 1,930   | 534          | 1,644         | 537       | 181     | -314        | -458    | -619        | Romania          | -10     | 28             | 26         |    |    |    |    |
|                                                                   | 5           | 14            | 18             | 6       | 6            | Russia        | 0         | 0       | 0           | 0       | 0           | 0                | 0       | 0              | 0          |    |    |    |    |
|                                                                   | 0           | 0             | Slovakia       | 593     | 1,095        | 197           | 87        | -115    | -405        | -118    | 0           | 0                | Spain   | 28,282         | 30,611     |    |    |    |    |
|                                                                   | 26,961      | 7,450         | 3,770          | 5,758   | 750          | -2,945        | Sweden    | 6,011   | 5,400       | 7,517   | 1,356       | 1,213            | 4,571   | -374           | 294        |    |    |    |    |
|                                                                   | Switzerland |               |                | -1,348  | -2,185       | 9,218         | 1,833     | 8,676   | 4,118       | 2,854   | 1,133       | Turkey           | 0       | 0              | 0          |    |    |    |    |
|                                                                   | 0           | 0             | United Kingdom | 16,183  | 8,746        | 21,778        | 7,501     | 7,587   | 13,947      | 6,560   | 6,533       | Asia and Pacific | -12,036 | 48,005         |            |    |    |    |    |
|                                                                   | 76,016      | 11,000        | 27,223         | 40,652  | 34,234       | 14,535        | Australia | 0       | 0           | 0       | 0           | 0                | 0       | 0              | Hong Kong  |    |    |    |    |
|                                                                   | 3,239       | 2,639         | 1,195          | 247     | -743         | 2,309         | 1,067     | 217     | India       | 7,917   | 338         | 4,914            | 5,525   | -2,553         | 48         |    |    |    |    |
|                                                                   | 11,686      | -1,038        | Japan          | -7,141  | 22,430       | 77,458        | 13,957    | 33,053  | 22,557      | 25,162  | 15,206      | 0                | 0       | Korea, Rep. of |            |    |    |    |    |
|                                                                   | -24,617     | 31,541        | 14,180         | -3,752  | 7,200        | 17,505        | -2,615    | 2,297   | New Zealand | -2      | -132        | -554             | -38     |                |            |    |    |    |    |
|                                                                   |             | -146          | -6             | 9       | -217         | Pakistan      | 0         | 99      | 213         | 66      | Philippines | 232              | 119     | 301            | -1         |    |    |    |    |
|                                                                   | -13         | 70            | -464           | 38      | Taiwan       |               |           |         |             |         |             |                  |         |                |            |    |    |    |    |
|                                                                   | 8,336       | -8,929        | -21,477        | -4,937  | -9,576       | -1,930        | -824      | -2,034  | Africa      | 5,304   | 6,549       | 9,097            | 2,497   |                |            |    |    |    |    |
|                                                                   | 1,797       | 3,198         | 764            | 2,779   | South Africa | 5,304         | 6,549     | 9,097   | 2,497       | 1,797   | 3,198       | 764              | 2,779   | 2              |            |    |    |    |    |

Before 2003, data include special funds reserved to institutional investors. Note:

Components may not sum to total because of rounding. An entry shown as zero or negative zero indicates an amount that is between - 0.499 million and 0.499 million. Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 1

Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-

|                                                                                          |  |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------------------------------------------------------------|--|--|--|--|--|--|--|--|--|--|--|--|
| domiciled funds. COUNTRY 2005 2005 20062003 2004 1/29/2007 SUPPLEMENTARY TABLES          |  |  |  |  |  |  |  |  |  |  |  |  |
| Table S8 NET SALES IN EUROS1 Millions Q3 Q4 Q1 Q2 Q3 World 279,264 362,076 785,427       |  |  |  |  |  |  |  |  |  |  |  |  |
| 250,201 239,137 363,511 163,738 197,442 Americas 54,952 130,289 349,092 97,321           |  |  |  |  |  |  |  |  |  |  |  |  |
| 168,971 145,236 86,213 125,036 Argentina 0 0 0 0 0 0 0 0 Brazil 17,270 1,277 4,096 2,443 |  |  |  |  |  |  |  |  |  |  |  |  |
| -185 14,841 2,213 2,363 Canada 3,252 14,702 25,294 5,225 9,959 8,844 2,348 3,379 0 0     |  |  |  |  |  |  |  |  |  |  |  |  |
| Chile 1,149 2,647 0 0 0 1,382 207 658 Costa Rica 0 0 0 0 0 0 0 0 Mexico 4,530 572 5,608  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2,716 1,527 4,106 2,289 2,045 United States 28,751 111,090 314,094 86,937 157,670        |  |  |  |  |  |  |  |  |  |  |  |  |
| 116,063 79,157 116,590 Europe 230,222 188,260 367,590 141,816 45,747 181,803 49,709      |  |  |  |  |  |  |  |  |  |  |  |  |
| 58,819 Austria 3,570 5,522 12,992 2,732 3,100 3,004 -1,505 222 Belgium 0 0 0 0 0 0 0 0   |  |  |  |  |  |  |  |  |  |  |  |  |
| Czech Republic 80 -66 514 185 85 104 -34 -41 Denmark2 6,738 7,386 10,449 2,685 3,041     |  |  |  |  |  |  |  |  |  |  |  |  |
| 873 1,596 1,147 Finland 4,538 5,263 4,954 932 -352 3,975 2,217 1,174 0 0 France 66,233   |  |  |  |  |  |  |  |  |  |  |  |  |
| 53,150 58,800 41,500 -34,800 57,500 14,400 30,900 Germany 5,551 -6,814 8,377 4,761       |  |  |  |  |  |  |  |  |  |  |  |  |
| -1,043 1,275 -2,426 -3,617 Greece 3,555 25 -6,321 -702 -2,210 -2,502 -2,679 -1,762       |  |  |  |  |  |  |  |  |  |  |  |  |
| Hungary 0 0 1,359 817 -495 146 -293 230 Ireland 0 0 0 0 0 0 0 0 0 0 Italy 6,606 -29,904  |  |  |  |  |  |  |  |  |  |  |  |  |

-15,411 987 -6,622 -13,204 -16,558 -6,626 Liechtenstein 3,363 1,973 1,111 486 310 186  
 567 31 Luxembourg 82,509 113,740 236,278 75,902 62,284 106,569 46,996 32,872  
 Netherlands 0 618 -7,513 -5,038 -370 621 -523 556 Norway 2,222 2,363 6,779 1,093 4,706  
 -17 851 371 0 0 Poland 0 0 0 0 0 0 0 Portugal 1,684 434 1,309 440 152 -261 -364 -486  
 Romania -9 22 21 4 12 15 5 5 Russia 0 0 0 0 0 0 0 Slovakia 0 476 861 162 73 -96 -322  
 -93 0 0 Spain 25,062 24,396 21,555 6,107 3,172 4,789 596 -2,311 Sweden 5,398 4,377  
 5,951 1,112 1,021 3,802 -297 231 Switzerland -1,160 -1,800 7,793 1,503 7,300 3,425 2,269  
 889 Turkey 0 0 0 0 0 0 0 United Kingdom 14,283 7,098 17,733 6,149 6,384 11,600 5,214  
 5,127 Asia and Pacific -10,563 38,233 61,430 9,017 22,907 33,812 27,209 11,406 Australia  
 0 0 0 0 0 0 0 Hong Kong 2,959 2,129 884 202 -626 1,921 848 170 India 6,766 437 3,966  
 4,529 -2,149 40 9,288 -815 Japan -6,609 17,847 63,082 11,441 27,813 18,761 19,999  
 11,932 0 0 Korea, Rep. of -21,284 25,034 11,362 -3,076 6,059 14,560 -2,079 1,803 New  
 Zealand -6 -96 -444 -31 -123 -5 7 -170 Pakistan 0 82 169 52 Philippines 207 96 233 -1 -11  
 58 -369 30 Taiwan 7,404 -7,213 -17,653 -4,047 -8,058 -1,605 -655 -1,596 Africa 4,633  
 5,293 7,315 2,047 1,512 2,660 607 2,181 South Africa 4,633 5,293 7,315 2,047 1,512  
 2,660 607 2,1810 2 Before 2003, data include special funds reserved to institutional  
 investors. 1 Funds of funds are not included, except for France, Italy, and Luxembourg.  
 Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include  
 home- and foreign-domiciled funds. COUNTRY Note: Components may not sum to total  
 because of rounding. An entry shown as zero or negative zero indicates an amount that is  
 between -0.499 million and 0.499 million. Source: National mutual fund associations;  
 European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data  
 for all European countries except Romania and Russia. 2005 2005 20062003 2004  
 1/29/2007 SUPPLEMENTARY TABLES Table S9 NET SALES IN LOCAL CURRENCIES1 Millions2  
 Q3 Q4 Q1 Q2 Q3 Americas Argentina 0 0 Brazil 60,126 4,517 13,820 6,982 -494 39,141  
 6,086 6,538 Canada 5,083 23,879 37,669 7,662 13,890 12,276 3,314 4,828 0 0 Chile  
 882,445 1,983,688 0 0 0 875,195 137,355 451,995 Costa Rica 0 0 0 0 0 0 0 Mexico  
 55,844 8,035 73,837 35,515 19,431 52,361 32,209 28,526 United States 35,672 143,530  
 382,477 106,054 187,375 139,542 99,595 148,571 Europe 0 0 Austria 3,570 5,522 12,992  
 2,732 3,100 3,004 -1,505 222 Belgium 0 0 0 0 0 0 0 Czech Republic 2,338 -2,025 15,318  
 5,498 2,482 2,962 -972 -1,166 Denmark3 50,061 54,958 77,870 20,026 22,678 6,512  
 11,903 8,560 Finland 4,538 5,263 4,954 932 -352 3,975 2,217 1,174 0 0 France 66,233  
 53,150 58,800 41,500 -34,800 57,500 14,400 30,900 Germany 5,551 -6,814 8,377 4,761  
 -1,043 1,275 -2,426 -3,617 Greece 3,555 25 -6,321 -702 -2,210 -2,502 -2,679 -1,762  
 Hungary 0 0 330,793 200,617 -124,671 37,080 -78,116 63,255 Ireland 0 0 0 0 0 0 0 0 0  
 Italy 6,606 -29,904 -15,411 987 -6,622 -13,204 -16,558 -6,626 Liechtenstein 5,123 3,044  
 1,721 755 479 290 887 49 Luxembourg 82,509 113,740 236,278 75,902 62,284 106,569  
 46,996 32,872 Netherlands 0 618 -7,513 -5,038 -370 621 -523 556 Norway 17,860 19,834  
 53,840 8,618 37,073 -135 6,665 2,992 0 0 Poland 0 0 0 0 0 0 0 Portugal 1,684 434 1,309  
 440 152 -261 -364 -486 Romania -349,765 883,118 183,728 14 44 53 16 18 Russia 0 0 0 0  
 0 0 0 0 Slovakia 0 19,443 33,155 6,259 2,816 -3,598 -12,133 -3,502 0 0 0 Spain 25,062  
 24,396 21,555 6,107 3,172 4,789 596 -2,311 Sweden 49,380 40,114 54,880 10,413 9,671  
 35,554 -2,762 2,129 Switzerland -1,867 -2,779 12,068 2,334 11,295 5,340 3,546 1,402  
 Turkey 0 0 0 0 0 0 0 United Kingdom 9,937 4,793 12,113 4,202 4,341 7,960 3,586 3,485  
 Asia and Pacific 0 0 0 Australia 0 0 0 0 0 0 0 Hong Kong 25,259 20,543 9,336 1,918  
 -5,765 17,915 8,278 1,686 India 363,340 12,470 209,960 241,360 -115,940 2,120 531,440  
 -48,140 Japan -836,573 2,409,390 8,670,445 1,552,422 3,876,592 2,636,954 2,878,276  
 1,768,162 0 0 Korea, Rep. of -29,431,407 36,016,688 14,482,545 -3,860,436 7,458,134  
 17,086,794 -2,483,344 2,194,473 New Zealand -15 -180 -782 -55 -210 -9 14 -342 Pakistan  
 0 5,941 12,804 4,003 Philippines 12,572 6,642 16,488 -38 -685 3,636 -24,247 1,946 Taiwan  
 285,422 -296,488 -698,466 -159,483 -320,390 -62,342 -26,509 -66,650 Africa 0 0 South

Africa 38,903 42,307 58,035 16,233 11,734 19,650 4,940 19,882 2 Local currency values for euro-area countries are reported in euros beginning in 1999 except for Greece, which adopted the euro in 2001. 3 Before 2003, data include special funds reserved to institutional investors. Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 1 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-domiciled funds. 2005 2006 COUNTRY 2003 2004 2005 1/29/2007 SUPPLEMENTARY TABLES Table S10 NUMBER OF MUTUAL FUNDS1 End of period Q3 Q4 Q1 Q2 Q3 World 54,110 54,569 54,982 56,095 56,867 58,378 59,408 59,763 Americas 13,884 13,921 14,064 13,765 13,763 13,904 14,219 14,390 Argentina 211 186 186 196 200 210 212 218 Brazil 2,755 2,805 2,859 2,683 2,685 2,729 2,961 2,994 Canada 1,956 1,887 1,915 1,765 1,695 1,723 1,748 1,748 Chile 226 414 537 659 683 714 773 838 Costa Rica 128 129 115 113 110 110 108 103 Mexico 364 374 411 416 416 426 428 433 United States 8,244 8,126 8,041 7,933 7,974 7,992 7,989 8,056 Europe 28,972 28,541 28,764 29,850 30,060 31,141 31,541 31,768 Austria 808 833 840 855 881 906 929 936 Belgium 1,141 1,224 1,281 1,387 1,391 1,435 1,492 1,531 Czech Republic 76 58 53 55 51 51 55 59 Denmark2 485 400 423 447 471 476 491 496 Finland 312 249 280 317 333 344 338 337 France 7,773 7,902 7,908 7,822 7,758 7,849 7,894 8,027 Germany 1,092 1,050 1,041 1,066 1,076 1,121 1,154 1,172 Greece 260 265 262 251 247 248 251 248 Hungary 90 96 97 89 91 92 92 91 Ireland 1,905 1,978 2,088 2,053 2,127 2,165 2,163 2,275 Italy 1,073 1,012 1,142 1,082 1,035 1,018 1,021 1,006 Liechtenstein 111 137 171 193 200 206 212 226 Luxembourg 6,874 6,578 6,855 7,113 7,222 7,401 7,525 7,686 Netherlands 680 593 515 515 515 515 466 Norway 419 375 406 400 419 460 472 476 Poland 107 112 130 145 150 147 163 147 Portugal 170 160 163 168 169 174 173 174 Romania 20 20 19 22 23 26 31 32 Russia 57 132 210 252 257 278 299 320 Slovakia 37 40 45 43 48 53 48 Spain 2,466 2,471 2,559 2,646 2,672 2,838 2,893 2,761 Sweden 512 485 461 466 464 464 458 469 Switzerland 512 441 385 472 510 646 657 612 Turkey 242 241 240 264 275 278 279 274 United Kingdom 1,787 1,692 1,710 1,725 1,680 1,955 1,931 1,899 Asia and Pacific 10,794 11,641 11,617 11,883 12,427 12,689 12,969 12,898 Australia - - - - - Hong Kong 942 963 1,013 1,036 1,009 1,036 1,067 1,078 India 312 350 394 427 445 463 467 468 Japan 2,718 2,617 2,552 2,611 2,640 2,625 2,678 2,699 Korea, Rep. of 5,873 6,726 6,636 6,757 7,279 7,478 7,653 7,536 New Zealand 577 563 553 566 563 578 586 605 Pakistan 21 26 28 Philippines 21 21 24 30 32 34 34 34 Taiwan 351 401 445 456 459 454 458 450 Africa 460 466 537 597 617 644 679 707 South Africa 460 466 537 597 617 644 679 707 2 Before 2003, data include special funds reserved to institutional investors. 1 Funds of funds are not included, except for France, Italy, and Luxembourg. Home-domiciled funds, except for Hong Kong, Korea, and New Zealand, which include home- and foreign-domiciled funds. COUNTRY 20032002 Source: National mutual fund associations; European Fund and Asset Management Association (EFAMA, formerly FEFSI) provides data for all European countries except Romania and Russia. 2004 2005 2006 1/29/2007

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