COMMENT LETTER

January 21, 2025

ICI Request for No Action Relief on Delaying Enforcement of the Short Sale Reporting Rule

The Investment Company Institute submitted a Comment Letter to requesting that the staff of the Division of Trading and Markets confirm that it will not recommend that the Securities and Exchange Commission take enforcement action under the Securities Exchange Act of 1934 against institutional investment managers for failure to file Form SHO reports as required by Rule 13f-2.

Read more in the comment letter.

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