

IDC UPDATE

February 13, 2024

IDC Update: February 1, 2024

Registration Open: Denver Directors Chapter Meeting and IDC Southern California Directors Chapter Meeting

IDC will hold its next meeting of the **Denver Directors Chapter** on **Thursday, March 14**, at Charles Schwab in Lone Tree, Colorado (9899 Schwab Way, Lone Tree, CO 80124). IDC's chapter meetings are informal and interactive gatherings for both independent and interested fund directors. They are free and open to directors of ICI member funds. Our guest speakers will be Amy Ruegg and Ryan Whitaker, Managing Directors, Investor Services Client and Field Experience, Charles Schwab, who will discuss artificial intelligence trends in asset management. We will also discuss current topics relating to your roles as fund directors, including governance practices and SEC regulatory initiatives. Please register [HERE](#).

IDC will hold its next meeting for fund directors based in **Southern California** on **Thursday, March 21** at PIMCO's offices in Newport Beach, California (650 Newport Center Dr, Newport Beach, CA 92660). We will be joined by guest speaker Peter Strelow, Managing Director and Co-Chief Operating Officer, PIMCO. Please register [HERE](#).

Please see below for the dates of other regional chapter meetings in 2024.

Register Now: IDC Webinar on "The Fund Compliance Rule at 20: Looking Back and Looking Ahead at Compliance Oversight"

Join IDC on **Wednesday, February 28**, from 3:00 to 4:00 p.m. (ET), for "*The Fund Compliance Rule at 20: Looking Back and Looking Ahead at Compliance Oversight*." Industry veterans will offer their perspectives on the evolving interpretation and application of Rule 38a-1 under the Investment Company Act, from 2004 through the present, including the role of a fund's chief compliance officer and board oversight considerations. Please register [HERE](#) today.

IDC Industry Segment Meetings Up Next

IDC will hold its next New Director Engagement Meeting on **Tuesday, February 6**, at 2:00 p.m. ET. The discussion will be facilitated by Karla Rabusch, Independent Director of Lord Abbett Funds. This call is designed to foster education, community, and engagement among fund directors with three years of experience or less. It is open to directors of ICI member funds but is closed to regulators and the media. Register [HERE](#) today.

IDC will hold its next meeting for independent chairs and lead independent directors of ICI member funds on **Tuesday, February 13**, at 2:00 p.m. ET. This meeting will be an informal and interactive discussion among participants, rather than a formal presentation.

The discussion will be facilitated by Gale Caruso, Independent Chair at Matthews Asia Funds. Register [HERE](#) today.

IDC will hold its next Industry Segment Meeting for directors of BDCs on **Wednesday, February 14**, at 2:00 p.m. ET. This call will be led by Susan McGee, Independent Director of Goldman Sachs BDC, and will feature an overview of different types of BDCs and M&A trends from Nicole Runyan, Partner, Kirkland and Ellis. The call is closed to regulators and the media. Register [HERE](#) today.

ICI Celebrates the 100th Anniversary of the Mutual Fund

ICI recently announced a year-long [celebration](#) commemorating the 100th anniversary of the [mutual fund](#). The program will feature in-person celebrations, digital content, and a national advertising campaign designed to “educate and engage retail investors.” Through events and programming across the country, ICI will seek to broaden public awareness about the importance of mutual funds and offer insights into the future of the mutual fund and other pooled investment products.

Join IDC at In-Person IDC Foundations Program for Fund Directors® on April 17-18

Registration is available for the **in-person** [Foundations for Fund Directors®](#) program on **Wednesday, April 17** through **Thursday, April 18** in **Washington, DC**. Please register [HERE](#). The *Foundations* program is designed for independent directors with up to five years of experience but also may serve as a useful refresher course for all directors, no matter their level of experience. Topics will include the structure of registered funds, directors’ role as fiduciaries, and director responsibilities. Participation is limited to directors of ICI member funds.

Hear from Industry Experts at 2024 ICI Innovate Conference in San Diego, February 6-8

We invite you to join industry experts at the 2024 [ICI Innovate Conference](#) in San Diego, California, from **Tuesday, February 6** through **Thursday, February 8** to hear how technology is shaping the fund industry. Industry leaders, innovators, and experts will discuss AI, machine learning, and other technologies impacting compliance, cybersecurity, **and** other areas subject to board oversight. You can register for the conference [HERE](#).

Mark Your Calendar for Upcoming Events

All times are shown in eastern time (ET), unless otherwise noted.

IDC Conferences

2024 Schedule

- Fund Directors Workshop, May 21-23 (Washington, DC)
- Fund Directors Conference, October 21-23 (Chicago, IL)

2025 Schedule

- Fund Directors Workshop, April 30-May 2 (Washington, DC)
- Fund Directors Conference, October 27-29 (Scottsdale, AZ)

Foundations for Fund Directors®

- [April 17–18 \(Washington, DC\)](#)

Webinars

- [Fund Compliance Rule at 20: Looking Back and Looking Ahead at Compliance Oversight, February 28, 3:00 p.m.](#)

Chapter Meetings

- [Denver, March 14, 10:00 a.m. \(MT\)](#)
- [Southern California, March 21, 10:00 a.m. \(PT\)](#)
- North Carolina, April 9, 10:00 a.m.
- New York, April 10, 10:00 a.m.
- Boston, April 24, 10:00 a.m.
- Ohio, April 30, 10:00 a.m.
- Chicago, May 8, 10:00 a.m. (CT)
- Washington, DC, May 21, 10:00 a.m.
- Boston, September 12, 10:00 a.m.
- New York, September 18, 10:00 a.m.
- Philadelphia, September 24, 10:00 a.m.
- Northern California, December 12, 10:00 a.m. (PT)

Industry Segment Meetings

- [New Director Engagement, Tuesday, February 6, 2:00 p.m.](#)
- [Board Leaders, Tuesday, February 13, 2:00 p.m.](#)
- [Directors of BDCs, Wednesday, February 14, 2:00 p.m.](#)
- [Governance Committee Chairs, Thursday, February 22, 2:00 p.m.](#)
- [Audit Committee Chairs, Tuesday, February 27, 2:00 p.m.](#)

A list of [IDC events](#) can be found on IDC's website. Please contact [Paul Mussoni](#) for additional information.

In Case You Missed Recent IDC Webinars or IDC Update Editions

Be sure to check out our recent webinars available on-demand:

- [SEC Examination and Enforcement Priorities](#)
- [Board Oversight of Fund Performance](#)

To access all of IDC's archived content, please click [here](#) (login required) for full access.