

VIDEO

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NSMIA: A Look Backwards and a Look Forward

The panel provided a refresher on NSMIA, the statute that governs the authority states have over federally registered investment companies, investment advisers, and broker-dealers. Panelists discussed the history of the reforms NSMIA made to the federal securities laws and its implications for current and future state activities.

Panelists

Tami Salmon, Associate General Counsel, ICI, *Moderator*

Jason Pinney, Partner, Morgan, Lewis & Bockius

Steven Stone, Partner, Morgan, Lewis & Bockius

Rob Sweeney, Government Affairs Officer, State Policy, ICI

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