VIDEO

September 19, 2019

IDC Webinar: Litigation and SEC Enforcement Activity: An Update for Fund Directors Archive Recording

Participants learned the latest developments in Section 36(b) cases and other lawsuits involving funds, fund advisers, and fund directors—and about some recent courtroom successes—in this annual update for fund directors. SEC enforcement activity, which remains high and has included a significant number of actions in the asset management area, also was covered.

Panelists gave an update of these litigation and SEC enforcement trends and reminders of ways in which directors can help to mitigate litigation risk.

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