

GUIDE

April 20, 2021

Cellular Contacts for Key ICI Staff

All numbers are US (+1) except as noted

Executive Office Name Work Cell Number

President and CEO

Eric J. Pan

202-748-2295

Chief Operating Officer

Donald C. Auerbach

202-421-1134

Law

All Legal Matters

Susan M. Olson, General Counsel

202-247-1177

All Securities Matters

Dorothy M. Donohue

571-299-9985

All Tax Matters

Keith Lawson

202-957-7226

All Tax Matters

Karen Gibian 703-597-6049 All Tax Matters Katie Sunderland 202-672-4110 All Retirement Matters David Abbey 202-657-7913 SEC Rules Committee and Derivatives Markets Advisory Committee Sarah Bessin 202-257-6669 Variable Insurance Products Advisory Committee, and IA/BD Working Group Sarah Bessin 202-257-6669 Money Market Fund and ETF Committees, Municipal Securities Advisory Jane Heinrichs 301-325-2522 CCO and CRO Committees Tami Salmon 301-437-4778 Bank-Affiliated Member Advisory Committee Rachel Graham 202-657-7931 1940 Act Derivatives Working Group and Closed-End Investment Company Committee Ken Fang 301-814-4434 Unit Investment Trust Committee Rachel Graham

202-657-7931

Equity Markets Advisory Committee and Derivatives Markets Advisory Committee

Nhan Nguyen

202-867-5885

Registered Fund CPO Advisory Committee

Rachel Graham

202-657-7931

Liquidity Rule Implementation Working Group

Matt Thornton

202-309-5252

Variable Insurance Products Advisory Committee

Bridget Farrell

202-672-4098

Industry Operations

Mutual Funds Operations and Distribution

Martin Burns, Chief Industry Operations Officer

202-657-7946

Mutual Funds Operations and Distribution

Joanne Kane

202-379-6082

Mutual Funds Operations and Distribution

Jeff Naylor

202-657-7937

Mutual Funds Operations and Distribution

John Randall

202-573-3803

Business Continuity and Cybersecurity

Peter Salmon 301-613-7711 **Fund Accounting**

Joanne Kane

202-379-6082

Global Operations

Joanne Kane

202-379-6082

Research

All Research Matters

Sean Collins, Chief Economist

202-527-1080

Government Affairs

All Government Affairs Matters

Kathleen Mellody

202-657-7938

Strategic Communications

Media Relations

Erica Richardson

256-527-4336

ICI Global

All ICI Global Matters—Asia Pacific

Michael Pedroni

202-853-2186

All ICI Global Matters—Policy and Regulatory

Michael Pedroni

202-853-2186

All ICI Global Matters—Europe

Michael Pedroni

202-853-2186

Global Regulated Funds Committee

Eva Mykolenko

202-657-7926

Independent Directors Council

All IDC Matters

Thomas Kim, Managing Director, Independent Directors Council

202-557-5025

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.