

VIDEO

March 13, 2017

Video: "Classified Assets: Translating the New Liquidity Rules into Firm Policies"

VIDEO HIGHLIGHTS

2017 Mutual Funds and Investment Management Conference
March 12-15, 2017
Palm Desert, CA

Classified Assets: Translating the New Liquidity Rules into Firm Policies

With the SEC's recent adoption of comprehensive liquidity risk management rules, it is critical to understand how firms are working through compliance, risk, and legal issues to develop policies and procedures. Hear from a panel of experts on how they are working to implement key aspects of the rules.

Dorothy M. Donohue, Moderator
Deputy General Counsel, Securities Regulation
Investment Company Institute

Paul G. Cellupica
Managing Director and General Counsel, Securities Law
TIAA

Jerry Cubbin
Senior Vice President, Director of Fixed Income and Alternatives Risk
OppenheimerFunds

Nancy M. Morris
Managing Director and Chief Compliance Officer
Wellington Management Company LLP

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.