

VIDEO

May 6, 2011

2011 Operations and Technology Conference Highlights (video)

2011 Operations and Technology Conference
May 4-6, 2011
Washington, DC

The Way Forward with Fund Investors

At ICI's 2011 Operations and Technology Conference held May 4-6 in Washington, DC, sessions addressed timely operations and technology leadership challenges. Video from selected sessions is included below. See all the highlights from the event at the [GMM website](#).

Wednesday, May 4

Welcome and Opening Remarks

- Greg Johnson
Chairman, General Membership Meeting Planning Committee
President and CEO, Franklin Resources, Inc.

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site  
Properties/js/player.swf','mpl','480','280','9'); so.addParam('allowfullscreen','false');  
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');  
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_welcome.flv');  
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');  
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace1');
```

Chairman's Address

- Edward Bernard
Chairman, Investment Company Institute
Vice Chairman, T. Rowe Price Group, Inc.

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site  
Properties/js/player.swf','mpl','480','280','9'); so.addParam('allowfullscreen','false');  
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
```

```
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_chairman.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace2');
```

GMM Policy Forum

- Timothy F. Geithner
Secretary, United States Department of the Treasury
- Paul Schott Stevens
President and CEO, Investment Company Institute

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site
Properties/js/player.swf','mpl','480','280','9'); so.addParam('allowfullscreen','false');
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_policy_forum.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace3');
```

Thursday, May 5

Operations and Technology Leadership Roundtable

- Stuart Bowers, Moderator
Chief Operating Officer, DST Brokerage Solutions
- Robert Adams
Executive Vice President, National Financial Services
- Gudrun Neumann
Senior Vice President and Chief Technology Officer, American Century Investments
- Gerard F. Scavelli
President, Mutual Fund Solutions, Broadridge

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site
Properties/js/player.swf','mpl','468','340','9'); so.addParam('allowfullscreen','false');
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_ops_leadership.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace4');
```

DTCC and the Fund Industry: Meeting the Needs of Investors

- Donald Boteler, Facilitator
Vice President, Operations, Investment Company Institute
- Donald F. Donahue
CEO, Depository Trust & Clearing Corporation
- Ann Bergin
Managing Director and General Manager, Wealth Management Services, Depository Trust & Clearing Corporation

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site
Properties/js/player.swf','mpl','468','340','9'); so.addParam('allowfullscreen','false');
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_ops_dtcc.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace5');
```

The Operational Impact of Key Regulatory Initiatives

- Jim Volk, Moderator
Chief Accounting Officer and Chief Compliance Officer, SEI Investment Manager Services
- Mary Corcoran
Senior Vice President, Invesco Investment Services
- James Waters
Vice President, Goldman Sachs Asset Management
- Robert Zack
EVP and General Counsel—Corporate, OppenheimerFunds, Inc.

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site
Properties/js/player.swf','mpl','468','340','9'); so.addParam('allowfullscreen','false');
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_ops_oper_impact.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace6');
```

Friday, May 6

Breakfast Session: A Regulatory Update Featuring SEC Chairman Mary Schapiro

- Mary Schapiro
Chairman, U.S. Securities and Exchange Commission

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site
Properties/js/player.swf','mpl','480','280','9'); so.addParam('allowfullscreen','false');
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');
so.addVariable('file','http://www.ici.org/info/11_vid_gmm_regulatory.flv');
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace7');
```

Intelligent Risk Management: Why It Is Everyone's Responsibility

- Kate Ives, Moderator
Senior Vice President and Deputy General Counsel, OppenheimerFunds, Inc.
- Robert Baillargeon
Executive Vice President and Chief Risk Officer, State Street Global Markets

- Deborah Seidel
Vice President, T. Rowe Price Services
- Robert W. Smith
Senior Vice President, Franklin Templeton Investor Services

```
var so = new SWFObject('http://www.ici.org/deployedfiles/ICI/Site  
Properties/js/player.swf','mpl','468','340','9'); so.addParam('allowfullscreen','false');  
so.addParam('allowscriptaccess','always'); so.addParam('wmode','opaque');  
so.addVariable('file','http://www.ici.org/info/11_vid_ops_risk.flv');  
so.addVariable('lightcolor','000066'); so.addVariable('screencolor','0066a4');  
so.addVariable('dock','false'); so.addVariable('stretching','none'); so.write('mediaspace8');
```

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.