

MEMO# 35892

October 23, 2024

ESG-Related Developments (February 2, 2024 - October 22, 2024)

[35892]

October 23, 2024

TO: ICI Members

ICI Global Members

ESG Advisory Group

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

International/Global RE: ESG-Related Developments (February 2, 2024 - October 22, 2024)

This memorandum catalogues significant ESG related developments that have occurred since February 2, 2024. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our [ESG Resource Center](#).

US Materials

- [SEC Charges Investment Adviser with Failing to Adhere to Its Own Investment Criteria For ESG-Marketed Funds](#) (Oct. 22, 2024)
- [Missouri Voluntarily Dismisses Appeal of Federal District Court Decision to Strike Down Securities Division Rules](#) (Oct. 8, 2024)
- [SEC Charges Investment Adviser for ESG-Related Misrepresentations](#) (Sept. 23, 2024)
- [Indiana Secretary of State Enters Cease and Desist Order Against an Asset Manager Regarding ESG Activity](#) (Aug. 27, 2024)
- [Federal District Court Strikes Down Missouri Securities Division Rules](#) (Aug. 15, 2024)
- [Commissioner Peirce's Speech on ESG Issues](#) (Jul. 9, 2024)
- [ICI Advocacy on California Climate Accountability Package](#) (Jun. 3, 2024)
- [ICI Planned Advocacy on California Climate Accountability Package](#) (May 6, 2024)
- [Wyoming Finalizes ESG Investing Rules](#) (Apr. 29, 2024)
- [Mississippi Secretary of State Enters Summary Cease and Desist Order and Notice of Intent to Impose Administrative Penalty Against an Asset Manager Regarding ESG Activity](#) (Apr. 29, 2024)
- [SEC Stays Final Rules on The Enhancement and Standardization of Climate-Related Disclosures for Investors](#) (Apr. 8, 2024)
- [SEC Adopts Final Rules on The Enhancement and Standardization of Climate-Related](#)

[Disclosures for Investors](#) (Mar. 6, 2024)

- [California Climate Disclosure Laws Challenged](#) (Jan. 31, 2024)

Global Materials

- [ICI Responds to Proposed Hong Kong Voluntary Code of Conduct for ESG Ratings and Data Providers](#) (Jun. 14, 2024)

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