

MEMO# 35601

January 29, 2024

ESG-Related Developments (August 22, 2023 - February 2, 2024)

[35601]

January 29, 2024

TO: ICI Members

ICI Global Members

ESG Advisory Group

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

International/Global RE: ESG-Related Developments (August 22, 2023 - February 2, 2024)

This memorandum catalogues significant ESG related developments that have occurred since August 22, 2023. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our [ESG Resource Center](#).

US Materials

- [Memo](#): State of Tennessee Files a Civil Enforcement Complaint Against an Asset Manager Regarding ESG Activity (December 22, 2023)
- [Memo](#): SEC and CFTC Rulemaking Agendas (December 08, 2023)
- [Memo](#): The SEC's Division of Examinations Publishes Its Examinations Priorities for 2024 (November 07, 2023)
- [Memo](#): California's Climate Accountability Package (October 10, 2023)
- [Comment Letter](#): ICI Recommends Withdrawal of Wyoming's Proposed Amendments Prescribing ESG-Related Advisory Disclosure (September 29, 2023)
- [Memo](#): SEC Adopts Final Amendments to the Fund Names Rule (September 28, 2023)
- [Memo](#): District Court Upholds DOL Final Rule on ESG Investing and Proxy Voting, Ruling Against Twenty-Five States' Attorneys General (September 25, 2023)[\[1\]](#)
- [Memo](#): Complaint Challenges Two New Missouri Securities Division Rules (August 22, 2023)
- [Comment Letter](#): ICI Letter to SEC on Aggregated Impact of Rulemaking (August 17, 2023)

Global Materials

- [Memo](#): UK Adopts Voluntary Industry Code of Conduct for ESG Ratings and Data Providers (January 05, 2024)
- [Comment Letter](#): ICI Global Responds to European Commission SFDR Targeted Consultation (December 15, 2023)
- [Comment Letter](#): ICI Global Responds to UK Call for Evidence on Scope 3 GHG Emissions Reporting (December 13, 2023)
- [Memo](#): Asia ESG: MAS Finalizes Code of Conduct for ESG Ratings and Data Providers (December 13, 2023)
- [Memo](#): Asia ESG: Hong Kong Develops Voluntary Code of Conduct for ESG Ratings and Data Providers (December 01, 2023)
- [Memo](#): Asia ESG: Singapore Proposes Transition Planning Guidelines for Asset Managers (November 02, 2023)
- [Comment Letter](#): ICI Global Responds to Proposed UK Voluntary Code of Conduct for ESG Ratings and Data Providers (October 04, 2023)
- [Comment Letter](#): ICI Global Responds to MAS Proposed Code of Conduct for ESG Ratings and Data Providers (August 21, 2023)

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Notes

[\[1\]](#) On October 26, 2023, the 25 Attorneys General filed a notice of their intent to appeal the decision to the Fifth Circuit Court of Appeals, and on January 18, 2024, they filed their opening brief with the Fifth Circuit.