

MEMO# 35331

June 1, 2023

Registration Open for July 11 SEC Rules Committee Meeting - Guest Speakers Announced!

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TO: SEC Rules Committee RE: Registration Open for July 11 SEC Rules Committee Meeting-Guest Speakers Announced!

On Tuesday, July 11, from 12:00-3:30 p.m. ET, ICI will hold a members-only meeting of the SEC Rules Committee. We will hold the meeting in person in Washington, DC, with the option to participate virtually. For members attending the meeting in person, we plan to hold a happy hour reception on Tuesday afternoon after the meeting concludes.

We are pleased to announce that our guest speakers will be Sarah ten Siethoff, Deputy Director, and Brian Johnson, Assistant Director, of the SEC's Division of Investment Management, who will discuss the SEC's rulemaking agenda with respect to funds and advisers. Sarah and Brian will be joined by other staff from the Division of Investment Management.

If you plan to attend the July meeting, please visit our registration site at <https://cvent.me/R1Wb1v>. Please include on the online registration form any particular topics you would like to discuss with other members at the meeting, as well as any issues you would like our SEC guests to address. To make our meeting as relevant and useful as possible to you, we may reach out in advance to request that you raise discussion topics of interest and ask questions during our meeting. We will circulate a draft agenda and materials closer to the date of the meeting.

You may participate in the meeting in person, by video, or by phone. We request that only one representative of each member firm attend the meeting in person so that we have space to accommodate everyone. If you register to participate virtually, you will receive an individualized link to participate in the meeting. This individualized link will work only for you. Accordingly, please make sure you save it to your calendar.

We request that you do not forward your calendar invitation for the meeting to others. Because it is an individualized link, it will not work for your colleagues. Consistent with our

guidelines, this meeting is limited to members of the SEC Rules Committee. If you would like additional colleagues at your firm to participate in the meeting, please contact Monique Curtis at monique.curtis@ici.org and she will assist them in registering directly for the meeting. This will help us maintain the confidentiality of our meeting and allow us to monitor the number of attendees. We appreciate your cooperation.

If you have questions or need assistance registering for the meeting, please contact monique.curtis@ici.org or sarah.bessin@ici.org. We look forward to having you join us for our July meeting!

Sarah A. Bessin
Deputy General Counsel - Markets, SMAs & CITs

Joshua Ratner
Chairman - SEC Rules Committee

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