

MEMO# 34934

February 10, 2023

ESG-Related Developments (November 22, 2022-February 2, 2023)

[34934]

February 09, 2023

TO: ICI Members

ICI Global Members

ESG Advisory Group

ESG Task Force

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

International/Global RE: ESG-Related Developments (November 22, 2022-February 2, 2023)

This memorandum catalogues significant ESG related developments that have occurred since November 22, 2022. It references, where indicated, ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our ESG Resource Center.[\[1\]](#)

US Materials

- [Memo](#): SEC Commissioner Uyeda's Speech on Issues Related to Asset Managers' Use of ESG Investment Strategies (February 1, 2023)
- [Memo](#): 2023 Report on FINRA's Examination and Risk Monitoring Program (January 30, 2023)
- [Memo](#): SEC and CFTC Rulemaking Agendas (January 6, 2023)
- [Memo](#): SEC Commissioner Peirce's Speech on Proposed Climate Change Disclosure Rule for Public Companies (December 14, 2022)
- [Memo](#): SEC Charges Investment Adviser for Failing to Follow Policies and Procedures Relating to ESG Investments (December 7, 2022)
- [Memo](#): DOL Releases Final Rule on ESG Investing and Proxy Voting (December 6, 2022)
- [Memo](#): ESG-Related Developments (April 20, 2022-November 22, 2022) (November 22, 2022)
- [Memo](#): SEC Commissioner Peirce Speech on Fund Proxy Voting and Engagement (November 22, 2022)
- [Memo](#): SEC Commissioner Uyeda Speech on Financial Regulation (November 22,

2022)

Global Materials

- [Memo](#): Asia ESG: HK SFC Second Industry Workshop on ESG Funds (February 2, 2023)
- [Memo](#): ICI Global Responds to UK FCA proposed Sustainability Disclosure Requirements (SDR) and investment labels for funds (February 2, 2023)
- [Memo](#): ICI Global Submits Response to Japan FSA Consultation on Supervisory Guidelines for ESG Investment Trusts (January 27, 2023)
- [Memo](#): ESMA Releases Annual Report on the Costs and Performance of European Union Retail Investment Products (January 24, 2023)
- [Memo](#): Australia Consults on Mandatory Climate-Related Financial Disclosure Framework (January 18, 2023)
- [Memo](#): ICI Global Response to ESAs Call for Evidence on Better Understanding Greenwashing (January 18, 2023)
- [Memo](#): Japan FSA Consults on Disclosure Requirements for ESG Investment Trusts (January 13, 2023)
- [Memo](#): Japan FSA Finalizes Code of Conduct for ESG Evaluation and Data Providers (January 10, 2023)
- [Memo](#): ESMA Proposes Guidelines on ESG and Sustainability-Related Fund Names (December 7, 2022)
- [Memo](#): ESAs Call for Evidence on Better Understanding Greenwashing (December 1, 2022)

Legislative Proposals

- [INvestor Democracy is EXpected Act \(INDEX Act\)](#) (June 14, 2022)
- [Mandatory Materiality Requirement Act of 2022](#) (December 13, 2022)

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Notes

[1] For completeness, this memorandum also includes links to certain legislative proposals, one of which was introduced in June 2022.

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