

MEMO# 34400

November 23, 2022

ICI Global Response to IOSCO Survey on Index Providers for Industry Participants

[34400]

November 23, 2022

TO: ICI Members

ICI Global Members SUBJECTS: International/Global RE: ICI Global Response to IOSCO Survey on Index Providers for Industry Participants

On October 13, the International Organization of Securities Commissions (IOSCO) released a survey on index providers directed at asset managers and index providers, focused on examining the nature of the interactions between these two parties.^[1]

The survey, which contains a set of questions for asset managers, and a separate set of questions for index providers, is intended to support IOSCO's mandate by seeking to generate a more granular understanding of certain conduct-related index provider matters. IOSCO intends to take into account the feedback provided to the survey in its ongoing work on this matter.

ICI Global submitted the attached comment letter that describes ICI's resources regarding how funds use indexes to assist IOSCO's information-gathering efforts.

Eva M. Mykolenko
Associate Chief Counsel - Securities Regulation

Notes

^[1] The IOSCO press release, linking to the survey, is available at <https://www.iosco.org/news/pdf/IOSCONEWS662.pdf>.

abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.