

MEMO# 34397

November 22, 2022

ESG-Related Developments (April 20, 2022–November 22, 2022)

[34397]

November 22, 2022

TO: ICI Members

ICI Global Members

ESG Advisory Group

ESG Task Force

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

International/Global RE: ESG-Related Developments (April 20, 2022–November 22, 2022)

This memorandum catalogues significant ESG related developments that have occurred since April 11, 2022. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our ESG Resource Center.

US Materials

- [Memo](#): SEC Adopts Amendments to Enhance Reporting of Proxy Information (November 7, 2022)
- [Memo](#): SEC Commissioner Lizarra Speech on Investor Demand for ESG Data (October 24, 2022)
- [Memo](#): SEC Staff Issues FAQ Relating to Investment Adviser Considerations of DEI Factors (October 13, 2022)
- [Comment Letter](#) : Substantial Implementation, Duplication, and Resubmission of Shareholder Proposals under Rule 14a-8 (September 12, 2022)
- [IDC Comment Letter](#): Investment Company Names Rule Proposal (August 16, 2022)
- [ICI Comment Letter](#): Investment Company Names (August 16, 2022)
- [Comment Letter](#): Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices (August 16, 2022)
- [Comment Letter](#): SEC Climate Risk Proposal for Public Companies (June 16, 2022)
- [Memo](#): SEC Proposes Enhanced Investment Adviser and Fund Disclosures about ESG Investment Practices (June 2, 2022)
- [Memo](#): SEC Proposes Amendments to the Fund Names Rule (June 1, 2022)

- [Memo](#): SEC Charges Investment Adviser for ESG-Related Misstatements and Omissions (May 24, 2022)
- [Comment Letter](#): ICI Submits Comment Letter in Response to DOL RFI on Potential Agency Actions to Address Climate-Related Financial Risks to Retirement Savings (May 12, 2022)
- [Memo](#): ESG-Related Developments (February 8, 2022 - April 20, 2022) (April 20, 2022)

Global Materials

- [Memo](#): UK FCA Proposes Sustainability Disclosure Requirements (SDR) and investment labels for funds (November 10, 2022)
- [Memo](#): Asia ESG: HK SFC Provides Guidance on the Naming of Non-ESG Funds (October 26, 2022)
- [Memo](#): Asia ESG: MAS Releases Disclosure Requirements for Retail ESG Funds (August 10, 2022)
- [Memo](#): Asia ESG: Japan Consults on Code of Conduct for ESG Data Providers (August 10, 2022)
- [Comment Letter](#): ICI Global Responds to the ISSB Exposure Draft (August 8, 2022)
- [Comment Letter](#): EFRAG European Sustainability Reporting Standards Exposure Drafts (August 8, 2022)
- [Memo](#): Asia ESG: Second Consultation on Singapore Taxonomy (June 24, 2022)
- [Comment Letter](#): ICI Global Comment Letter on Response to Call for Evidence on Retail Investment Strategy (June 6, 2022)
- [Comment Letter](#): ICI Global Responds to European Commission Consultation on ESG Ratings (June 6, 2022)
- [Memo](#): ISSB Consults on Proposed Sustainability-Related Financial and Climate-Related Disclosures Standards (April 19, 2022)

Dorothy M. Donohue
Deputy General Counsel - Securities Regulation