

MEMO# 34362

November 16, 2022

Draft Letter on IOSCO Survey on Index Providers for Industry Participants: Comments Due November 21

[34362]

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TO: Global Regulated Funds Committee

SEC Rules Committee SUBJECTS: RE: Draft Letter on IOSCO Survey on Index Providers for Industry Participants: Comments Due November 21

As we previously informed you, on October 13, the International Organization of Securities Commissions (IOSCO) released a survey on index providers directed at asset managers and index providers, focused on examining the nature of the interactions between these two parties.^[1] Responses must be submitted by November 26, 2022.

The survey, which contains a set of questions for asset managers, and a separate set of questions for index providers, is intended to support IOSCO's mandate by seeking to generate a more granular understanding of certain conduct-related index provider matters. IOSCO intends to take into account the feedback provided to the survey in its ongoing work on this matter.

We have prepared the attached draft comment letter that describes ICI's resources regarding how funds use indexes to assist IOSCO's information-gathering efforts. We welcome your feedback on the attached response by Monday, November 21. You may reach me at emykolenko@ici.org.

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Notes

^[1] The IOSCO press release, linking to the survey, is available at www.iosco.org/news/pdf/IOSCONEWS662.pdf.

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