

**MEMO# 34361**

November 16, 2022

# Registration Open for December 7 SEC Rules Committee Meeting-Guest Speakers Announced!

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TO: SEC Rules Committee SUBJECTS: RE: Registration Open for December 7 SEC Rules Committee Meeting-Guest Speakers Announced!

On **Wednesday, December 7, from 12-3:30 pm ET**, ICI will hold a members-only meeting of the SEC Rules Committee. We plan to hold the meeting in person in Washington, DC, with the option to participate virtually. For members attending the meeting in person, we plan to hold a happy hour reception on Wednesday afternoon after the meeting concludes.

**We are pleased to have as guest speakers for the meeting Brian Johnson, Assistant Director of the SEC's Division of Investment Management, and Elad Roisman, Partner, Cravath, Swaine & Moore, and former SEC Commissioner and Acting Chair.**

If you plan to attend the December meeting, please visit our registration site at <https://cvent.me/kKYdDY>. Please include on the online registration form any particular topics you would like to discuss with other members at the meeting, as well as any issues you would like our guest speakers to address. To make our meeting as relevant and useful as possible to you, we may reach out in advance to request that you raise discussion topics of interest and ask questions during our meeting. Please consider topics and questions you would like to discuss with the committee. We will circulate a draft agenda and materials closer to the date of the meeting.

You may participate in the meeting in person, by video, or by phone. **The meeting will be held at ICI's offices so we will have a space limit.** We therefore request that only one representative of each member firm attend the meeting in person so that we have space to accommodate everyone. **If you plan to attend in person, please register early as registrations will be accepted on a first-come, first-serve basis.**

If you plan to participate in the meeting virtually, once you have registered for the meeting, an **individualized link** will be generated and emailed to you. **This individualized link**

**will work only for you.** Accordingly, please make sure you save it to your calendar. **We request that you do not forward your calendar invitation for the meeting to others. Because it is an individualized link, it will not work for your colleagues.**

Consistent with our guidelines, this meeting is limited to members of the SEC Rules Committee. If you would like additional colleagues at your firm to participate in the meeting, please contact Monique Curtis at [monique.curtis@ici.org](mailto:monique.curtis@ici.org) and she will assist them in registering directly for the meeting. This will help us maintain the confidentiality of our meeting and allow us to monitor the number of attendees. We appreciate your cooperation.

If you have questions or need assistance registering for the meeting, please contact [monique.curtis@ici.org](mailto:monique.curtis@ici.org) or [sarah.bessin@ici.org](mailto:sarah.bessin@ici.org). We look forward to having you join us for our December meeting!

Sarah A. Bessin  
Deputy General Counsel – Markets, SMAs & CITs

Joshua Ratner  
Chairman - SEC Rules Committee

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