

**MEMO# 34313**

October 18, 2022

# **IOSCO Survey on Index Providers for Industry Participants**

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TO: ICI Members

ICI Global Members SUBJECTS: International/Global RE: IOSCO Survey on Index Providers for Industry Participants

On October 13, the International Organization of Securities Commissions (IOSCO) released a survey on index providers directed at asset managers and index providers, focused on examining the nature of the interactions between these two parties.[\[1\]](#) Responses, which will remain anonymous to IOSCO Committee members, must be submitted by November 26, 2022.

The survey is intended to support IOSCO's mandate by seeking to generate a more granular understanding of certain conduct-related index provider matters. IOSCO has identified potential areas that require further study, including (1) the role of asset managers in relation to indices and index providers and the role and processes of index providers in the provision of indices, (2) the potential impact of administrative errors on investment funds and (3) potential conflicts of interest that may exist at the index provider in relation to the fund.

The survey contains a set of questions for asset managers, and a separate set of questions for index providers. Respondents may choose to provide responses to all or just some questions under the given section.

IOSCO intends to take into account the feedback provided to the survey in its ongoing work on this matter.

Eva M. Mykolenko  
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**Notes**

[1] The IOSCO press release, linking to the survey, is available at [www.iosco.org/news/pdf/IOSCONEWS662.pdf](http://www.iosco.org/news/pdf/IOSCONEWS662.pdf).

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