

MEMO# 34027

February 7, 2022

ESG-Related Developments (November 30, 2021 - February 7, 2022)

[34027]

February 8, 2022

TO: ICI Members ICI Global Members ESG Advisory Group ESG Task Force

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

International/Global RE: ESG-Related Developments (November 30, 2021 - February 7, 2022)

This memorandum catalogues significant ESG related developments that have occurred since December. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our ESG Resource Center.

US Materials

- Memo: SEC Staff's 2021 Report on NRSROs Includes ESG-Related Examination Findings (February 2, 2022)
- <u>Memo</u>: SEC Reopens Comment Period for Pay Versus Performance Proposal: Request for Comment on ESG-related Performance Metrics (February 1, 2022)
- Memo: DOL and Treasury/IRS Release Fall 2021 Regulatory Agendas (December 20, 2021)
- Memo: FSOC Issues 2021 Annual Report, Considers Climate Risk and LIBOR Transition (December 20, 2021)
- <u>Speech</u>: SEC Commissioner Crenshaw Speech on Net-Zero Pledges and Company Disclosures (December 15, 2021)
- <u>Comment Letter</u>: ICI Files Comment Letter with SEC on Proposal to Enhance Reporting of Proxy Voting Information (December 14, 2021)
- <u>Comment Letter</u>: ICI Submits Comment Letter in Response to DOL's Proposed Amendments to 2020 Final Rules on ESG Investing and Proxy Voting (December 14, 2021)
- Memo: DEI: House Financial Services Committee Report and Hearing on Diversity in

- the Asset Management Industry (December 14, 2021)
- <u>Memo</u>: MSRB Issues Request for Information on ESG Practices in the Municipal Securities Market (December 13, 2021)
- <u>Memo</u>: ESG-Related Developments: Mid October November 2021 (November 30, 2021)

Global Materials

- Memo: IOSCO Releases Report on Risk Outlook 2022 (January 18, 2022)
- Memo: EU ESG: European Commission Publishes FAQs on Taxonomy Article 8
 Delegated Act (January 18, 2022)
- <u>Comment Letter</u>: ESMA Call for Evidence on Retail Investor Protection ICI Global Response (January 18, 2022)
- Memo: EU ESG: IPSF Publishes Reports on Common Ground Taxonomy and ESG Disclosure (December 14, 2021)
- Memo: Asia ESG: AESAN Publishes Sustainable Finance Taxonomy (December 10, 2021)
- Memo: EU ESG: Final Delegated Act Supplementing Article 8 of the Taxonomy Regulation (December 6, 2021)

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