

**MEMO# 33940**

December 7, 2021

## **Reminder: Professor John Coates to be Guest Speaker at December 14 SEC Rules Committee Virtual Meeting - Agenda Attached**

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TO: SEC Rules Committee RE: Reminder: Professor John Coates to be Guest Speaker at December 14 SEC Rules Committee Virtual Meeting - Agenda Attached

On Tuesday, December 14, from 12:30-3:30 pm ET, ICI will hold a virtual members-only meeting of the SEC Rules Committee. Our guest speaker will be John Coates, Professor of Law and Economics at Harvard Law School. Most recently, Professor Coates served as General Counsel of the Securities and Exchange Commission and, prior to that, Acting Director of the SEC's Division of Corporation Finance.

If you plan to attend the December meeting and have not yet registered, please visit our registration site at <https://cvent.me/dK8WAo>. Please include on the online registration form any particular topics you would like to discuss with other members at the meeting, as well as any questions you would like us to ask Professor Coates. We also encourage you to ask questions during the meeting. We have attached an agenda for the meeting, and will circulate materials shortly.

Prior to the meeting, we will send each member that has registered for the meeting an individualized link to participate in the meeting. This individualized link will work only for you. Accordingly, please make sure you save it to your calendar. You may participate in the meeting by video or by phone.

We request that you do not forward your calendar invitation for the meeting to others. Because it is an individualized link, it will not work for your colleagues. Consistent with our guidelines, this meeting is limited to members of the SEC Rules Committee. If you would like additional colleagues at your firm to participate in the meeting, please contact Monique Curtis at [monique.curtis@ici.org](mailto:monique.curtis@ici.org) and she will assist them in registering directly for the meeting. This will help us maintain the confidentiality of our meeting and allow us to monitor the number of attendees. We appreciate your cooperation.

If you have questions or need assistance registering for the meeting, please contact [monique.curtis@ici.org](mailto:monique.curtis@ici.org) or [sarah.bessin@ici.org](mailto:sarah.bessin@ici.org). We look forward to having you join us for our December meeting!

Sarah A. Bessin  
Associate General Counsel

Joshua Ratner  
Chairman - SEC Rules Committee

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