

MEMO# 33927

November 30, 2021

ESG-Related Developments (Mid October - November 2021)

[33927]

November 30, 2021

TO: ICI Members
ICI Global Members
ESG Advisory Group
ESG Task Force

SEC Rules Committee SUBJECTS: Disclosure

ESG

Financial Stability

Fund Accounting & Financial Reporting

International/Global RE: ESG-Related Developments (Mid October - November 2021)

This memorandum catalogues significant ESG related developments that have occurred since October. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our <u>ESG Resource Center</u>.

US Materials

- Memo: FSOC Issues Report on Climate-Related Financial Risk (October 28, 2021)
- Speech: SEC Commissioner Remarks Related to ESG (October 28, 2021)
- Memo: DOL Proposes Amendments to 2020 Final Rules on ESG Investing and Proxy Voting (October 18, 2021)
- Memo: ESG-Related Developments: August to Early October 2021 (October 11, 2021)

Global Materials

- Memo: EU ESG: ESAs Publish Draft RTS on Taxonomy-Related Product Disclosures Under the SFDR (November 29, 2021)
- <u>Memo</u>: IOSCO Publishes Recommendations Regarding ESG Ratings and Data Product Providers (November 24, 2021)
- Memo: ESMA Call for Evidence on Retail Investor Protection Member Call on

December 1, 2021 (November 23, 2021)

- Memo: UK ESG: FCA Sustainable Investment Labelling (November 17, 2021)
- <u>Memo</u>: IOSCO Final Recommendations on Sustainability-Related Practices and Disclosures in Asset Management (November 17, 2021)
- Memo: G20 Sustainable Finance Working Group 2021 Synthesis Report (November 16, 2021)
- <u>Memo</u>: TCFD Implementation Guidance on Climate-Related Financial Disclosures (November 10, 2021)
- Memo: UK ESG: UK Government Roadmap to Sustainable Investing (November 10, 2021)
- <u>Memo</u>: CFA Institute Publishes Global ESG Disclosure Standards for Investment Products (November 8, 2021)
- Memo: Recent ESG Developments (November 4, 2021)
- Memo: ICI Board Statement on Financing the Transition to Net Zero; ICI to Attend COP26 (October 13, 2021)

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