

## MEMO# 33812

October 11, 2021

## **ESG-Related Developments: August to Early October 2021**

[33812]

October 11, 2021

TO: ICI Members **ICI Global Members ESG Advisory Group ESG Task Force** SEC Rules Committee SUBJECTS: Disclosure

**ESG** 

Financial Stability

Fund Accounting & Financial Reporting

International/Global RE: ESG-Related Developments: August to Early October 2021

This memorandum catalogues significant ESG related developments that have occurred since the beginning of August. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our ESG Resource Center.

## **US Materials**

- Memo: SEC Issues Proposal to Enhance Reporting of Proxy Voting Information; ICI Member Call Tuesday, October 12 (October 6, 2021)
- Memo: SEC's Division of Corporation Finance's Sample Comments Regarding Public Companies' Climate Change Disclosure (October 4, 2021)
- Speech: SEC Chair Gensler's Testimony on September 14 Before the Senate Banking Committee: Market Structure, ESG, and Cryptocurrencies (October 1, 2021)
- Comment Letter: ICI Response to CFA Institute Exposure Draft of Verification Procedures for ESG Disclosure Standards (September 21, 2021)
- Speech: DEI: SEC Chair Gensler Discusses Human Capital Disclosure and Diversity in Senate Committee Hearing (September 16, 2021)
- Speech: SEC Chair Gensler Speech to European Parliament Committee on Economic and Financial Affairs (September 8, 2021)

- Memo: DEI: SEC Issues Order on Nasdaq Diversity Listing Rules (August 9, 2021)
- <u>Memo</u>: CFA Institute Publishes Exposure Draft of Verification Procedures for ESG Disclosure Standards (August 6, 2021)

## **Global Materials**

- Memo: ESMA Publishes 2022 Annual Work Programme (October 5, 2021)
- <u>Memo</u>: Summary of the European Commission's Proposed Regulation for a Green Bond Standard (September 28, 2021)
- <u>Comment Letter</u>: ICI Responds to IOSCO Consultation on ESG Ratings and Data Providers (September 14, 2021)
- Memo: EU Consultation on Social Taxonomy (September 10, 2021)
- <u>Memo</u>: Detailed Summary of IOSCO Final Report on Corporate Issuers' Sustainability-Related Disclosures (September 3, 2021)
- <u>Memo</u>: OECD Stocktaking Exercise Re OECD Guidelines for Multinational Enterprises -Closing Date: 14 September 2021 (September 1, 2021)
- Memo: EU Corporate Sustainability Reporting Directive: ICI Member Call Regarding Advocacy Issue Development; EFRAG Call for Experts for Developing the CSRD Standards (September 1, 2021)
- <u>Memo</u>: Asia ESG: HK SFC Finalizes Requirements on the Management and Disclosure of Climate-Related Risks by Fund Managers (August 31, 2021)
- <u>Comment Letter</u>: ICI Responds to IOSCO Consultation on Recommendations on Sustainability Related Practices, Policies, Procedures, and Disclosure in Asset Management (August 13, 2021)
- <u>Memo</u>: Summary of the IOSCO's Consultation on ESG Ratings and Data Products Providers (August 6, 2021)

Nicolas Valderrama Law Clerk

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