

**MEMO# 33807**

October 8, 2021

# **Registration Open for ICI Research Committee Zoom November 2021 Meeting**

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TO: Research Committee RE: Registration Open for ICI Research Committee Zoom November 2021 Meeting

The purpose of this memo is to provide the agenda and registration information for the ICI Research Committee video meeting on Zoom on November 16, 2021 (12:30 PM to 4:00 PM ET).

As indicated on the agenda below, the focus of the first half of the meeting will be presentations and discussions around the dynamics at work in the investment industry market. Experts will touch upon the distribution forces at play in the mutual fund, ETF, collective investment trust, and separately managed accounts markets. During the second part of the meeting, the committee will also be updated on legislative and regulatory developments in Washington, DC.

ICI Research Committee

Video Meeting

AGENDA

November 16, 2021

12:30 PM to 4:00 PM (ET)

12:30 PM - Welcome Remarks and Antitrust Reminder

- Paul Schaeffer, IndexIQ, and Sarah Holden, ICI

12:40 PM to 2:00 PM - The Distribution Imperative - Mutual Funds, ETFs, CITs, and SMAs, Oh My!

- Paul Schaeffer, IndexIQ
- Tom O'Shea, Cerulli Associates
- John Alshefski, SEI
- <Other invited speaker>

2:00 PM to 2:15 PM - Brief Break

2:15 PM to 2:30 PM - Networking/Polling Questions

2:30 PM to 4:00 PM - Washington Update on Retirement and Fund Policy

- ICI Government Affairs staff will update the committee on recent developments with policymakers.
  - 2:30 PM to 3:05 PM Peter Gunas and Allen Huffman on retirement policy developments.
- ICI Law staff will update the committee on recent developments in regulatory circles.
  - 3:05 PM to 3:40 Elena Chism and Shannon Salinas on DOL and other regulatory developments related to retirement plans
  - 3:40 PM to 4:00 PM Dorothy Donohue on SEC developments

4:00 PM - Adjourn

## **Registration**

Please register at: <https://cvent.me/baaDYA>

Please feel free to share this invitation with other colleagues. While we hope you can join us, we welcome non-committee members, as well.

Paul Schaeffer  
Chairman, Research Committee  
IndexIQ

Sarah Holden  
Senior Director, Retirement & Investor Research

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