

MEMO# 33737

August 27, 2021

Registration Now Open for ICI's September 21, 2021 AML Compliance Working Group Virtual Meeting

[33737]

August 27, 2021

TO: AML Compliance Working Group

Transfer Agent Advisory Committee RE: Registration Now Open for ICI's September 21, 2021 AML Compliance Working Group Virtual Meeting

ICI's AML Compliance Working Group will hold two videoconference meetings via Zoom on Tuesday, September 21. The first session will be held from 10:00 a.m. ET to noon.

Invitations to speak with the working group have been extended to members of FinCEN, OFAC, and SEC's Office of Enforcement. The second session will be held from 2:00 p.m. to 3:30 p.m. ET. We encourage attendees to use the video option during the meeting. If you prefer not to use the Zoom platform, you may dial into the meeting.

A placeholder for the virtual meetings has already been sent to you. We ask that you register via Cvent for the actual working group meetings. **A new meeting invite for each session, including a link to the Zoom session, will be sent only to those participants who register.** The new Zoom meeting invites will replace the placeholders currently on your calendar.

Roundtable discussion: Please send me any topics you would like to discuss with the working group **by Friday, September 10.**

Online registration for the meeting is available at <https://cvent.me/YPqoa0>.

Registration is limited to three representatives per member firm. You must register online in order to receive the Zoom connection/dial-in information.

If you have any questions about the September 21 virtual meeting, please contact Joanne Kane (202-326-5850 or joanne.kane@ici.org).

Joanne Kane
Senior Director, Operations & Transfer Agency

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.