

MEMO# 33720

August 9, 2021

ESG-Related Developments

[33720]

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TO: ICI Members
ICI Global Members
ESG Advisory Group
ESG Task Force
SEC Rules Committee SUBJECTS: Disclosure
ESG
Financial Stability
Fund Accounting & Financial Reporting
International/Global RE: ESG-Related Developments

This memorandum catalogues significant ESG related developments that have occurred since the beginning of June. It references ICI memoranda that provide a more fulsome description of each matter. You can find all of these memoranda on our website in our <u>ESG</u> Resource Center.

US Materials

- Speech: SEC Chair Gensler Remarks on Anticipated ESG Rulemaking (July 28, 2021)
- <u>Speech</u>: SEC Commissioner Hester Peirce Speech on Potential ESG Rulemaking (July 21, 2021)
- Memo: SEC Chair Gensler Announces New Policy Personnel (July 20, 2021)
- <u>Comment Letter</u>: ICI Response to CFA Institute Exposure Draft of ESG Disclosure Standards for Investment Products (July 14, 2021)
- Memo: SEC's AMAC Meeting on ESG: Chair Gensler and Commissioner Statements and Committee Recommendations (July 8, 2021)
- Memo: SEC's AMAC Meeting: Diversity and Inclusion Recommendations (July 8, 2021)
- <u>Speech</u>: SEC Commissioner Peirce Statement on IFRS's Proposed Constitutional Amendments Relating to Sustainability Standards (July 6, 2021)
- <u>Speech</u>: SEC Commissioner Lee Speech on Directors' Duties Regarding ESG (June 30, 2021)
- Speech: SEC Chair Gensler Speech on SEC Rulemaking Agenda (June 24, 2021)
- <u>Speech</u>: SEC Commissioner Roisman Speech on ESG-Related Rulemaking (June 22, 2021)
- <u>Comment Letter</u>: ICI Responds to Request for Input on Public Company Climate Change Disclosures (June 4, 2021)

Global Materials

- Memo: FCA Issues Guiding Principles for ESG Funds (July 29, 2021)
- <u>Comment Letter</u>: ICI Responds to the IFRS Foundation's Proposed International Sustainability Standards Board to Set IFRS Sustainability Standards (July 29, 2021)
- Memo: UK ESG: FCA Consults on Climate-Related Disclosures for Asset Managers (July 27, 2021)
- <u>Comment Letter</u>: ICI Responds to the TCFD Consultation on Climate-Related Metrics (July 20, 2021)
- <u>Memo</u>: Summary of IOSCO's Consultation on Proposed Sustainability-Related Practices and Disclosures in Asset Management (July 16, 2021)
- Memo: European Commission Publishes a Package of Sustainable Finance Measures (July 9, 2021)
- Memo: Asia ESG: Hong Kong SFC Issues New Guidance on ESG Fund Disclosures (July 7, 2021)
- Memo: IOSCO Consults on Sustainability-Related Regulatory and Supervisory Expectations for Asset Managers (July 6, 2021)

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