

**MEMO# 33693**

July 20, 2021

## **SEC Chair Gensler Announces New Policy Personnel**

[33693]

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TO: ICI Members

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ESG Advisory Group

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SEC Rules Committee SUBJECTS: Derivatives

ESG

Financial Stability

Trading and Markets RE: SEC Chair Gensler Announces New Policy Personnel

Chair Gensler recently announced that Corey Klemmer, Adam Large, Mika Morse, Sirimal Mukerjee, and Sai Rao had joined the SEC, reporting to Policy Director Heather Slavkin Corzo.[\[1\]](#) The background and responsibilities of the new personnel are summarized below.

Corey Klemmer, Corporate Finance Counsel, will focus on "policies designed to ensure that investors are provided with material information in order to make informed business decisions." Ms. Klemmer previously served as Director of Engagement for Domini Impact Investments and as an analyst at the AFL-CIO Office of Investment.

Adam Large, Trading and Markets Counsel, will focus on "day-to-day oversight of the major securities market participants with a special focus on broker-dealers and security-based swaps." Mr. Large previously held positions in the SEC's Division of Economic and Risk Analysis (DERA) and Division of Examinations, and was counsel to Commissioner Crenshaw.

Mika Morse, Climate Counsel, will serve as the "lead policy advisor on climate-risk finance issues." Ms. Morse previously served as senior counsel and deputy legislative director for Senator Brian Schatz (D-HI) and before that worked at Sidley Austin and as a law clerk to the Honorable Stephen Robinson (SDNY).[\[2\]](#)

Sirimal Mukerjee, Investment Management Counsel, will work on "matters related to investment companies and investment advisers." Mr. Mukerjee previously was a Branch Chief in the Investment Adviser Regulation Office in the Division of Investment Management's Rulemaking Office, Senior Counsel in both the Division of Corporation Finance's Office of Capital Markets Trends and Disclosure Review Office, and as counsel to

former SEC Commissioner Stein. Prior to joining the SEC, Mr. Mukerjee worked at Simpson Thacher & Bartlett LLP and Paul Hastings LLP.

Sai Rao, Trading and Market Counsel, will focus on "day-to-day oversight of the major securities market participants with a focus on market structure." Mr. Rao previously worked in DERA as a financial economist on a variety of matters including "implementing Title VII regulations on security-based swaps, implementing clearing agency reforms, reviewing new exchange-traded products, and coordinating international efforts to protect financial stability." Prior to working at the SEC, Mr. Rao worked for "a financial regulatory reform think tank and a data analytics start-up."

Dorothy M. Donohue  
Deputy General Counsel - Securities Regulation

#### **endnotes**

[1] See Chair Gensler Announces Composition of Policy Team available at <https://www.sec.gov/news/press-release/2021-129>

[2] Justice Robinson resigned from the bench in 2010 to join Skadden, Arps, Slate, Meagher & Flom LLP and Affiliates.

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