

MEMO# 33543

May 21, 2021

Recording Available of NYU Law Institute for Corporate Governance and Finance's ESG Roundtable

[33543]

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TO: Disclosure Working Group

ESG Advisory Group

ESG Task Force

SEC Rules Committee

Small Funds Committee RE: Recording Available of NYU Law Institute for Corporate Governance and Finance's ESG Roundtable

On April 30, NYU Law Institute for Corporate Governance and Finance hosted a roundtable, titled "Given that it looks like the SEC will mandate ESG disclosure, what should it mandate?" April Klein, Robert Jackson, and Edward Rock hosted the event. Former SEC Commissioner Robert Jackson interviewed the SEC's Division of Corporation Finance Acting Director John Coates. Below is the complete agenda, and you can access a recording of the full event on the ICI's website.[\[1\]](#)

Welcome & Introduction: Robert Jackson, April Klein & Edward Rock

Fireside Chat: John Coates (Securities Exchange Commission)

Session 1: Insights from Finance

Moderator: April Klein

Panelists:

- Christian Leuz (Chicago Booth)
- George Serafeim (Harvard Business School),
- Marcin Kacperczyk (Imperial College, London)
- Lucrezia Reichlin (LBS; ECGI)
- Sara DeSmith (PricewaterhouseCoopers)

Session 2: Asset managers: What should the SEC do? What should the SEC NOT do?

Moderator: Robert Jackson, Moderator

Panelists:

- Jon Bailey (Neuberger Berman)
- Ben Colton (State Street)
- Dorothy Donohue (ICI)
- Adrienne Monley (Vanguard)
- Carolina San Martin (Wellington)

Session 3: What would a "standard setting" strategy look like? How would it be designed? Implemented?

Moderator: Edward Rock, Moderator

Panelists:

- Alan Beller (Cleary Gottlieb)
- Amy Borrus (Council of Institutional Investors)
- Janine Guillot (SASB)
- John White (Cravath)

Session 4: Issuers: What are forwardly thinking issuers currently doing? What challenges are they facing? Can the SEC help bring order to a chaotic situation?

Moderator: David Katz

Panelists:

- Rudi Bless (Bank of America)
- John Finley (Blackstone)
- Maria Ghazal (Business Roundtable)
- Alex Sabegian (Hess Corporation)

Closing: David Katz (Wachtell Lipton)

Dorothy M. Donohue
Deputy General Counsel - Securities Regulation

endnotes

[1] The recording is available on the ICI's website at <https://www.ici.org/video/esgnyu>