

**MEMO# 2164**

September 4, 1990

## **MARYLAND INVESTMENT ADVISER REGISTRATION DEADLINE**

September 4, 1990 TO: INVESTMENT ADVISER MEMBERS NO. 43-90 INVESTMENT ADVISER ASSOCIATE MEMBERS NO. 38-90 RE: MARYLAND INVESTMENT ADVISER REGISTRATION DEADLINE \_\_\_\_\_ As we previously informed you, investment advisers and investment adviser representatives must be registered with the Maryland Securities Division by October 1, 1990 and the Division released emergency regulations last July regarding registration procedures. (See Memorandum to Investment Adviser Members No. 35-90 and Investment Adviser Associate Members No. 31-90, dated July 24, 1990.) The Institute has just learned that the Maryland Securities Division staff understands that emergency regulation .17C, which requires amendments to the registration to be filed within 30 days, could result in registration after the October 1, 1990 deadline in the event the staff requires amendments or supplements to the initial registration. Therefore, the staff has requested registration as soon as possible during the month of September to ensure final registration by the October 1, 1990 deadline. Please contact the undersigned at 202/955-3516 if you have any questions regarding this matter. We will keep you informed of further developments. W. Richard Mason Assistant General Counsel

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