

MEMO# 13591

June 7, 2001

2001 MUTUAL FUND FACT BOOK AND FACTS ABOUT FUNDS

[13591] June 7, 2001 TO: BROKER/DEALER ASSOCIATE MEMBERS No. 5-01 DIRECT MARKETING COMMITTEE No. 6-01 INDUSTRY STATISTICS COMMITTEE No. 4-01 INVESTMENT ADVISER ASSOCIATE MEMBERS No. 8-01 MUTUAL FUND FACT BOOK PRIMARY CONTACTS - MEMBER COMPLEX No. 40-01 PUBLIC INFORMATION COMMITTEE No. 18-01 RESEARCH COMMITTEE No. 9-01 SALES FORCE MARKETING COMMITTEE No. 7-01 SHAREHOLDER COMMUNICATIONS COMMITTEE No. 5-01 ACCOUNTING/TREASURERS COMMITTEE No. 15-01 OPERATIONS COMMITTEE No. 20-01 SMALL FUNDS COMMITTEE No. 7-01 RE: 2001 MUTUAL FUND FACT BOOK AND FACTS ABOUT FUNDS Enclosed is a copy of the 2001 Mutual Fund Fact Book and a new publication, Facts About Funds. The 2001 Mutual Fund Fact Book The newly revised Fact Book contains two sections: text and data. The main text of the book, which has been restructured this year, consists of five chapters covering the features of mutual funds, mutual fund regulation and taxation, U.S. mutual fund developments during the 1990s, trends in mutual fund ownership and shareholder demographics, and mutual funds in the retirement market. The data section is divided into seven subsections: four cover the U.S.-based mutual fund industry, one each covers U.S.-based institutional investors and fund industries in other countries, and a new subsection includes data on the U.S.-based closed-end fund and unit investment trust industries. Facts About Funds Facts About Funds is a 12-page brochure that sheds light on common misconceptions about the mutual fund industry and its shareholders. The brochure references Institute and other research about mutual funds and mutual fund shareholders. To order additional copies (the Fact Book is \$25 and Facts About Funds is 50¢), please use the enclosed form. If you need assistance with your order, please contact Sally Coleman, Institute Library Services, at 202/326-5872. For questions about the book's contents, please contact me directly at 202/326-8306. Michael Budzinski Editorial Director Public Information Enclosures