

MEMO# 5402

December 13, 1993

INVESTMENT ADVISER WORKSHOPS SCHEDULED FOR 1994

December 13, 1993 TO: COMPLIANCE COMMITTEE NO. 30-93 INVESTMENT ADVISER
ASSOCIATE MEMBERS NO. 48-93 INVESTMENT ADVISER MEMBERS NO. 59-93 SEC RULES
MEMBERS NO. 97-93 STATE SECURITIES MEMBERS NO. 45-93 RE: INVESTMENT ADVISER
WORKSHOPS SCHEDULED FOR 1994

The Investment Company
Institute will sponsor three investment adviser workshops in 1994 focusing on investment
adviser issues. These workshops will take place for 1 day in each of 3 different locations.
Amy Lancellotta, Associate Counsel of the Investment Company Institute, will moderate the
panel of experts, which include: Mary Ann Tynan of Wellington Management, chairman of
the Institute's Investment Adviser Committee; Robert L. Bunnen, Jr. of Spears Benzak
Salomon & Farrell; Susan Newton of John Hancock Advisers; and Keith Rudolf of Stein Roe
and Farnham. The dates and places for the workshops are as follows: Friday, March 11 at
the Hyatt Regency in San Francisco, Wednesday, April 13 at the Hotel Westbury in New
York, and Tuesday, May 10 at the Knickerbocker Hotel in Chicago. The workshops are
scheduled to begin at 9:00 am. Mark your calendar now, space will be limited to 100
attendees. Further information will be mailed to you in the new year. Susan S. Burgess
Legal Assistant

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