

MEMO# 7043

June 21, 1995

MEMBERS' CODES OF ETHICS

June 21, 1995 TO: COMPLIANCE COMMITTEE No. 25-95 RE: MEMBERS' CODES OF ETHICS

At the last meeting of the Compliance Committee, several members suggested that the Institute might gather membersG Rule 17j-1 codes of ethics and make them available to those members who have furnished codes. Consequently, we request that you send a copy of your firmGs code of ethics to the undersigned. Please white-out portions of your code that contain references to your firm or other identifying information that you do not wish to be disclosed to other members. All codes received will be made available to those members who have sent in their codes. If you have any questions concerning this matter, please contact the undersigned at 202-326- 5923. Alexander C. Gavis Assistant Counsel

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