

MEMO# 15366

November 22, 2002

BROKER DISTRIBUTION LIST FOR 2002 YEAR-END TAX REPORTING

[15366] November 22, 2002 TO: ACCOUNTING/TREASURERS MEMBERS No. 47-02 BANK AND TRUST ADVISORY COMMITTEE No. 14-02 BROKER/DEALER ADVISORY COMMITTEE No. 47-02 CLOSED-END INVESTMENT COMPANY MEMBERS No. 60-02 OPERATIONS MEMBERS No. 34-02 TAX MEMBERS No. 48-02 TRANSFER AGENT ADVISORY COMMITTEE No. 103-02 RE: BROKER DISTRIBUTION LIST FOR 2002 YEAR-END TAX REPORTING The Securities Industry Association has requested that regulated investment companies ("RICs") send their 2002 year-end tax reporting information by e-mail to the following contact persons: Firm Contact Person E-Mail Address Telephone Number 1. DTCC Tony Capizzi acapizzi@dtcc.com 212 855-4703 2. Merrill Lynch Roger Mayes yearenddiv_jax@ml.com 904 218-5255 3. Wall Street Concepts Justin Feuerstein tax@wsc.com 646 443-8710 4. Standard & Poor's Charles Diaz charles_diaz@sandp.com 212 438-3869 David Weitzman sp_taxstatus@sandp.com 212 438-3604 The Institute previously distributed the (1) uniform file formats to be used by RICs when reporting 2002 year-end tax information¹ and (2) procedures for Institute members to access 1 See Institute Memorandum to Accounting/Treasurers Members No. 22-02, Bank and Trust Advisory Committee No. 8-02, Broker/Dealer Advisory Committee No. 26-02, Closed-End Investment Company Members No. 30-02, Operations Members No. 22-02, Tax Members No. 36-02 and Transfer Agent Advisory Committee No. 65-02, dated August 2, 2002. 2 2002 year-end tax information for real estate investment trusts.² As in prior years, the 2002 year-end tax reporting formats and other information related to 2002 year-end tax reporting are available on the Institute's members-only internet home page at <http://members.ici.org> ("ICINet").³ Deanna J. Flores Associate Counsel 2 See Institute Memorandum to Accounting/Treasurers Members No. 46-02, Bank and Trust Advisory Committee No. 13-02, Broker/Dealer Advisory Committee No. 45-02, Closed-End Investment Company Members No. 59-02, Operations Members No. 32-02, Tax Members No. 47-02 and Transfer Agent Advisory Committee No. 98-02, dated November 15, 2002. 3 Those Institute members who have not been issued an ICINet password may receive one by accessing ICINet and completing the ICINet enrollment form.