

**MEMO# 9179**

August 18, 1997

## **NASDR REQUESTS COMMENT ON CASH COMPENSATION -- INSTITUTE CONTACT PERSON**

\* Memorandum to SEC Rules Committee No. 60-97, dated August 15, 1997. [9179] August 18, 1997 TO: SEC RULES COMMITTEE No. 79-97 RE: NASDR REQUESTS COMMENT ON CASH COMPENSATION -- INSTITUTE CONTACT PERSON

\_\_\_\_\_ Last Friday, August 15th, the Institute mailed to the SEC Rules Committee a memorandum transmitting a copy of NASD Notice to Members 97-50, in which NASD Regulation, Inc. ("NASDR") solicited suggestions on how the payment and receipt of various forms of incentive-based cash compensation for the sale and distribution of investment company and variable contract securities should be regulated.\* As indicated in that memorandum, comments must be submitted by October 15, 1997. If there are issues you would like the Institute to consider addressing in its comment letter, please contact Frances Stadler by phone at (202) 326-5822, by fax at (202) 326-5827, or by e-mail to frances@ici.org by September 10th.  
Barry E. Simmons Assistant Counsel

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