

MEMO# 12891

November 28, 2000

DRAFT ICI COMMENT LETTER ON DOL REQUEST FOR INFORMATION ON ERISA'S DISCLOSURE REQUIREMENTS; CONFERENCE CALL SCHEDULED FOR DECEMBER 12TH AT 2:00 P.M.

[12891] November 28, 2000 TO: PENSION COMMITTEE No. 87-00 AD HOC COMMITTEE ON ERISA DISCLOSURE ISSUES RE: DRAFT ICI COMMENT LETTER ON DOL REQUEST FOR INFORMATION ON ERISA'S DISCLOSURE REQUIREMENTS; CONFERENCE CALL SCHEDULED FOR DECEMBER 12TH AT 2:00 P.M. Attached is a draft of the Institute's comment letter on the Department of Labor's request for information on ERISA's disclosure rules.¹ The draft letter was developed based on a conference call discussion with interested members on October 10th, as well as on prior positions expressed by the Institute relating to disclosure matters. Please review the draft and contact me with any comments or suggestions. I can be reached by phone (202/326-5837), e-mail (tkim@ici.org) or fax (202/326-5839). For your information, we also have attached another copy of the Department's notice. In addition, a conference call has been scheduled for Tuesday, December 12, 2000 at 2:00 p.m. EST to discuss the draft comment letter. If you would like to participate on this call, please complete the attached response form and fax it to Brenda Turner by Monday, December 11, 2000. If you have any questions or comments, please call Russ Galer at (202) 326-5835 or myself at (202) 326-5837. Thomas T. Kim Assistant Counsel Attachments 1 See Institute Memorandum to Pension Committee No. 68-00, dated September 19, 2000. 2 RESPONSE FORM FOR INSTITUTE CONFERENCE CALL ON ERISA DISCLOSURE ISSUES TUESDAY, DECEMBER 12, 2000 AT 2:00 PM EST Please fax this form by Monday, December 11, 2000 to Brenda Turner at (202) 326-5839. Yes, I will participate in the Conference Call on Tuesday, December 12, 2000 at 2:00 p.m. EST. To participate in the Call, dial (800) 949-5539 and ask for the Investment Company Institute call, confirmation number 4795732. _____ Committee Member/Alternate

Phone Number Attachment (in .pdf format)