

**MEMO# 11269**

September 24, 1999

## **NOTICE OF MEETING - THURSDAY, NOVEMBER 4, 1999**

[11269] September 24, 1999 TO: COMPLIANCE ADVISORY COMMITTEE No. 37-99 RE:  
NOTICE OF MEETING - THURSDAY, NOVEMBER 4, 1999

The next meeting of the Compliance Advisory Committee has been scheduled for Thursday, November 4, 1999, at the Institute's offices at 1401 H Street, N.W., Washington, D.C. The meeting will be held in the David Silver Conference Room on the 12th floor, and will begin at 10:00 a.m. A draft agenda will be sent to you before the meeting. I am pleased to announce that Gene Gohlke, Associate Director, Office of Investment Company/Investment Adviser Examinations and Oversight at the Securities and Exchange Commission, has agreed to join us at approximately 11:30 a.m. for an informal discussion of current SEC examination issues and/or other issues that may be of interest to the group. If there are particular issues you would like Gene to address, please list them on the attached response form. After the discussion with Gene, lunch will be served. Following lunch, we will take up any remaining agenda items. Please complete the attached response form and return it by October 25th to Cheryl DeShields of the Investment Company Institute (fax: 202/326-5827). I look forward to seeing you on November 4th. Michael J. Downer Chairperson Attachment

---

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.