

MEMO# 3152

September 27, 1991

REVISED DRAFT LETTER TO NASAA COMMITTEE REGARDING PERSISTENCY PROPOSAL

September 27, 1991 TO: CONTRACTUAL PLANS COMMITTEE NO. 16-91 RE: REVISED DRAFT
LETTER TO NASAA COMMITTEE REGARDING PERSISTENCY PROPOSAL

Pursuant to the conference call of
the Committee held this date, attached is a copy of the revised draft letter to the NASAA
Investment Company Registration/Trading Practices Committee regarding the NASAA
Committee's persistency proposal. Please review the revised draft letter and contact me
with any comments at (202) 955-3517 by Tuesday, October 1, 1991. Patricia Louie
Assistant General Counsel Attachment

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