

MEMO# 6037

July 12, 1994

INSTITUTE MEETING ON RECOMMENDATIONS OF THE ADVISORY GROUP ON PERSONAL INVESTING

July 12, 1994 TO: COMPLIANCE COMMITTEE NO. 13-94 SEC RULES COMMITTEE NO. 74-94
RE: INSTITUTE MEETING ON RECOMMENDATIONS OF THE ADVISORY GROUP ON PERSONAL
INVESTING _____ As you may know, the
Institute's Board of Governors recently approved the recommendations of the industry's
Advisory Group on Personal Investing and urged all investment companies to incorporate
the recommendations into their codes of ethics by January 1, 1995. When it voted to
endorse the recommendations, the Board recognized that various interpretive questions
might arise as members incorporate the recommendations into their codes. Consequently,
the Board requested that the Institute consult with members as they update their codes in
order to assist them with these interpretive questions. In light of the Board's request, the
Institute has scheduled a meeting at the Institute for Tuesday, July 26, 1994, at 2pm to
discuss these issues. In order to help us prepare for the meeting, we would appreciate
receiving any interpretive questions that you might have before the meeting. (My direct
number is 202/326-5819.) Please detach and return the form below by Tuesday, July 19th if
you plan to attend. Thomas M. Selman Assistant Counsel Please detach and return to
Shirley McCoy (202/326-5820; fax 202/326-5828) at the Investment Company Institute,
1401 H Street, N.W., Washington, D.C. 20005-2148, by July 19, 1994. YES NO Print Name
Company Name

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