

**MEMO# 7730**

March 21, 1996

## **OCC RULE AMENDMENTS CONCERNING BANK SUPERVISION OF BROKER- DEALERS**

March 21, 1996 TO: BANK BROKER/DEALER ADVISORY COMMITTEE No. 7-96 RE: OCC RULE  
AMENDMENTS CONCERNING BANK SUPERVISION OF BROKER-DEALERS

\_\_\_\_\_ The Office of  
the Comptroller of the Currency ("OCC") recently adopted amendments to its interpretive  
rules concerning bank supervision of broker-dealers. A copy of an ICI memorandum and the  
adopted amendments are attached. Diane M. Butler Director - Operations & Fund Custody  
Attachments

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