

MEMO# 7730

March 21, 1996

OCC RULE AMENDMENTS CONCERNING BANK SUPERVISION OF BROKER- DEALERS

March 21, 1996 TO: BANK BROKER/DEALER ADVISORY COMMITTEE No. 7-96 RE: OCC RULE
AMENDMENTS CONCERNING BANK SUPERVISION OF BROKER-DEALERS

_____ The Office of
the Comptroller of the Currency ("OCC") recently adopted amendments to its interpretive
rules concerning bank supervision of broker-dealers. A copy of an ICI memorandum and the
adopted amendments are attached. Diane M. Butler Director - Operations & Fund Custody
Attachments

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