

**MEMO# 13255**

March 15, 2001

## **SEC ADOPTS TECHNICAL AMENDMENTS TO INSTRUCTIONS TO FORM 24F-2**

[13255] March 15, 2001 TO: COMPLIANCE ADVISORY COMMITTEE No. 14-01 SEC RULES MEMBERS No. 24-01 UNIT INVESTMENT TRUST MEMBERS No. 11-01 RE: SEC ADOPTS TECHNICAL AMENDMENTS TO INSTRUCTIONS TO FORM 24F-2 The Securities and Exchange Commission has adopted technical amendments to the instructions to Form 24F-2, the form under the Investment Company Act of 1940 that prescribes the method by which certain investment companies calculate and pay registration fees on securities they issue.<sup>1</sup> A copy of the adopting release is attached. The Release notes that Instruction C.9 to the form specifies the rate used to calculate the registration fee, but that rate is subject to change from time to time by act of Congress through appropriations for the SEC or other laws. As a result, according to the Release, filers with older copies of the form have made filings with incorrect fees, sometimes overpaying. Revised Instruction C.9 directs filers to the correct statutory provision and to the latest fee advisory on the SEC's web site to find the correct rate to use in calculating registration fees. In addition, revised Instruction D.1, which explains the computation of the interest due for a late payment of registration fees, provides a reference to the Treasury Department Financial Management web site and a telephone number through which issuers can obtain the most current interest rate. These technical amendments became effective on March 12, 2001. Kathy D. Ireland Associate Counsel Attachment Attachment (in .pdf format) 1 Technical Amendments to Instructions for Registration Form for Certain Investment Company Securities, SEC Release Nos. 33-7959; IC-24886 (March 5, 2001), 66 Fed. Reg. 14071 (March 9, 2001) (the "Release"). 2

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