

MEMO# 5678

March 15, 1994

1994 INVESTMENT ADVISERS WORKSHOPS - REMINDER

March 15, 1994 TO: COMPLIANCE COMMITTEE NO. 5-94 INVESTMENT ADVISER ASSOCIATE MEMBERS NO. 13-94 INVESTMENT ADVISER MEMBERS NO. 12-94 SEC RULES MEMBERS NO. 13-94 STATE SECURITIES MEMBERS NO. 9-94 RE: 1994 INVESTMENT ADVISERS WORKSHOPS - REMINDER _____ There is still time to register for the Investment Company Institute's one- day Investment Advisers Workshops in New York and Chicago. As we previously informed you, Amy Lancellotta, Associate Counsel of the Institute, will lead a panel of members including Mary Ann Tynan of Wellington Management and Chairperson of the Institute's Investment Advisers Committee, Robert L. Bunnen, Jr. of Spears Benzak Salomon & Farrell, Susan Newton of John Hancock Advisers, and Keith Rudolf of Stein Roe and Farnham. The workshops will focus on a variety of topics including recent developments in the regulation of advertising and marketing practices, proxy voting, soft dollar arrangements, ERISA regulation, wrap fee programs, compliance issues, state regulation and federal legislative developments. Dates and locations of the workshops are as follows: Wednesday, April 13 Hotel Westbury New York 15 East 69th Street (Madison Avenue & 69th Street) New York, NY 10029 Tel: 212/535-2000 \$195 Single/Double Hotel Cut Off Date - March 23 Tuesday, May 10 The Knickerbocker Chicago Hotel 163 E. Walton Place (Walton Place & N. Michigan Avenue) Chicago, IL 60611 Tel: 312/751-8100 \$135 Single/Double Hotel Cut Off Date - April 19 The workshops will commence at 9:00 am and conclude at approximately 3:15 pm. Please see the attached program for further details. Attendance for each workshop is limited to Institute members and associate members until further notice. To register, please return the attached registration form with the appropriate fee to the Investment Company Institute. Please make your own hotel reservations by calling the hotel directly. For further registration information, contact Fred Moore, 202/326-5968, at the Institute. Kathleen V. Orem Administrative Assistant Attachments