

MEMO# 1150

May 9, 1989

UNIFORM NOTICE OF INTENTION TO SELL SECURITIES

May 9, 1989 TO: STATE LIAISON COMMITTEE NO. 7-89 RE: UNIFORM NOTICE OF INTENTION TO SELL SECURITIES ______ We have revised the attached form entitled "Uniform Notice of Intention to Sell Securities" as we agreed upon at our State Liaison Committee meeting. We intend to promote the use of this uniform form by states which provide a blue chip exemption, yet require the annual filing of a form and fee to claim such exemption. Susan S. Burgess Legal Assistant Attachment

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.