

**MEMO# 10574**

December 16, 1998

## **INSTITUTE MEETING ON UNIFORM 401(K) FEE DISCLOSURE-- JANUARY 7, 1999**

[10574] December 16, 1998 TO: PENSION COMMITTEE No. 87-98 RE: INSTITUTE MEETING  
ON UNIFORM 401(k) FEE DISCLOSURE-- JANUARY 7, 1999

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The Department of Labor' Pension and Welfare Benefits Administration has requested that the Institute and other 401(k) plan associations, including the American Bankers Association and the American Council of Life Insurance, work together to develop a uniform 401(k) plan fee disclosure form. The Department has indicated that although it is contemplating regulation in this area, it would be helpful if the 401(k) plan industry could provide "uniform" fee disclosure form proposals for 401(k) plans. This "uniform" 401(k) fee disclosure form project stems from the Department's public hearing on 401(k) plans fees in November, 1997. A meeting of the 401(k) plan associations is scheduled for the middle of January 1999. In order to discuss issues related to a uniform fee disclosure form, a meeting has been scheduled on January 7, 1999 from 10:00 a.m. to 2:00 p.m. at the Institute's David Silver Conference Room, 1401 H Street, N.W. Washington, D.C. Lunch will be provided. If you plan to attend, please return the attendance form below by COB January 5, 1998. If you have any questions or comments concerning the meeting or the Department's fee project, please call me at (202) 218-3563 or Russ Galer at (202) 326-5835. Kathryn A. Ricard Assistant Counsel

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Attendance Response Form for Fee Disclosure Meeting Thursday,  
January 7, 1999 10:00 a.m - 2:00 p.m. Please fax this form by Tuesday, January 5, 1999 to  
Zipporah Mbuthia at (202) 326-5841 \_\_\_\_\_ Lunch \_\_\_\_\_ Fee Disclosure Meeting

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COMMITTEE MEMBER NAME OF COMPANY