

MEMO# 2468

January 14, 1991

NOTICE OF MEETING, MARCH 5, 1991

January 14, 1991 TO: COMPLIANCE COMMITTEE NO. 1-91 RE: NOTICE OF MEETING, MARCH 5, 1991 _____ A meeting of the Compliance Committee has been scheduled for 10:00 a.m. on Tuesday, March 5, 1991. The meeting will be held in the Institute's Conference Room, 1600 M Street, N.W., Washington, D.C. Lunch will be served after the meeting. An agenda for the meeting will be circulated shortly. One of the topics that we plan to discuss is compliance with applicable NASD requirements. Please provide Amy Lancellotta or Frances Stadler with any specific items regarding NASD requirements and any other items you would like to discuss at the meeting by February 19, 1991. Please indicate in the space provided below whether you, or an alternate, plan to attend the meeting and return this form to the Institute. Ellen Metzger Chairperson _____ Please detach and return to Michele Dugue, by February 19, 1991 YES NO ____ ____ Compliance Committee Meeting ____ ____ Luncheon at Investment Company Institute _____ Please Print Member's Name _____ Alternate's Name _____ Company Name _____

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