

MEMO# 9628

January 30, 1998

DRAFT AGENDA FOR FEBRUARY 19TH MEETING

[9628] January 30, 1998 TO: COMPLIANCE ADVISORY COMMITTEE No. 3-98 RE: DRAFT AGENDA FOR FEBRUARY 19TH MEETING

_____ As previously indicated, the next meeting of the Compliance Advisory Committee is scheduled for Thursday, February 19th at 10:00 a.m. in the David Silver Conference Room on the 12th Floor at the Institute. Following the regular meeting and luncheon, we will have an afternoon session focusing on personal investing issues. Attached are a draft agenda for the morning meeting and proposed discussion topics related to personal investing for the afternoon session. In connection with the discussion of personal investing issues, members are invited to provide samples of : (1) materials used to educate personnel about personal investing policies; and (2) reports provided to fund boards of directors concerning personal investing. If you send these items to me by February 9th (with references to your firm deleted, if you wish), we will make copies to distribute at the meeting. If you are planning to attend the meeting and have not yet notified us, please fax your response to 202/326-5827 or call Lisa Shigeta at 202/326-5823 or 5818. Frances M. Stadler Associate Counsel

Attachments

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