

**MEMO# 7310**

October 2, 1995

## **1995 ICI SECURITIES LAW PROCEDURES CONFERENCE**

October 2, 1995 TO: ACCOUNTING/TREASURERS COMMITTEE No. 39-95 BANK INVESTMENT MANAGEMENT MEMBERS No. 19-95 CLOSED-END FUND MEMBERS No. 31-95 COMPLIANCE COMMITTEE No. 36-95 CUSTODIAN ADVISORY COMMITTEE No. 1-95 INVESTMENT ADVISER ASSOCIATE MEMBERS No. 39-95 INVESTMENT ADVISER MEMBERS No. 43-95 MEMBERS - ONE PER COMPLEX No. 83-95 SEC RULES MEMBERS No. 67-95 TRANSFER AGENT ADVISORY COMMITTEE No. 49-95 UNIT INVESTMENT TRUST MEMBERS No. 50-95 RE: 1995 ICI SECURITIES LAW PROCEDURES CONFERENCE

The 1995 ICI Securities Law Procedures Conference, sponsored by the ICI Education Foundation, will be held on December 7 & 8 at the Grand Hyatt Washington in Washington, D.C. Six panels made up of representatives from the mutual fund industry, legal counsel, the SECs Division of Investment Management and the NASD will discuss current issues regarding disclosure policy, compliance, rulemaking, and investment company advertising and sales practices. There will be two concurrent sessions on investment adviser and UIT issues. Enclosed is a preliminary program with further details about the panels. The final program, which will include a more complete listing of topics to be addressed at the conference, will be mailed at a later date. To register for the conference, return the registration form at the back of the brochure with the appropriate fee to the Institute. A section has been provided on the registration form to indicate if you would like to pay by Visa or Master Card. Please note that conference registration cancellations made after November 21 will incur a \$75 penalty. If you have any questions regarding conference registration, please call Beth Simons at (202) 326-5968. The Grand Hyatt is holding a block of rooms for us until November 13. The room rate is \$169 single/double. Please call the Grant Hyatt Hotel at (202) 582-1234 to make your reservations. Be sure to mention that you will be attending the ICI conference. We look forward to seeing you in December. Karen M. Fernandes Administrative Assistant Securities and Financial Regulation Enclosure