

**MEMO# 11395**

November 8, 1999

## **1999 SECURITIES LAW DEVELOPMENTS CONFERENCE - FINAL PROGRAM**

[11395] November 8, 1999 TO: ACCOUNTING/TREASURERS COMMITTEE No. 43-99 BANK INVESTMENT MANAGEMENT MEMBERS No. 5-99 CLOSED-END INVESTMENT COMPANY MEMBERS No. 40-99 COMPLIANCE ADVISORY COMMITTEE No. 44-99 INVESTMENT ADVISER ASSOCIATE MEMBERS No. 27-99 INVESTMENT ADVISER MEMBERS No. 24-99 PRIMARY CONTACTS - MEMBER COMPLEX No. 99-99 SEC RULES MEMBERS No. 66-99 TRANSFER AGENT ADVISORY COMMITTEE No. 67-99 UNIT INVESTMENT TRUST MEMBERS No. 24-99 RE: 1999 SECURITIES LAW DEVELOPMENTS CONFERENCE - FINAL PROGRAM

The ICI Education Foundation will sponsor the 1999 Securities Law Developments Conference on Thursday and Friday, December 9-10, at the Grand Hyatt Washington Hotel, 1000 H Street, NW, in Washington, DC. Enclosed is the final program. Paul Royce, Director of the Division of Investment Management of the U.S. Securities and Exchange Commission, will give the keynote address to begin the conference. Representatives from the mutual fund industry, the U.S. Securities and Exchange Commission, NASD Regulation, Inc., and outside counsel will comprise five panels that will focus their discussion on issues including Current Rulemaking; No-action Positions, Interpretations and Exemptive Applications; Mutual Fund Governance; Year 2000 Issues; Compliance Issues; and NASD Issues. Please refer to the enclosed brochure for further details. If you have not yet registered for the conference, please return the registration form and the appropriate fee to the Institute's Conference Division as soon as possible. Conference registration cancellations will be subject to a \$250 administrative fee. To make your hotel reservations, please call the Grand Hyatt Washington Hotel at 202/582-1234. Please call soon to reserve your room. Please call our Conference Division at 202/326-5968 if you have any questions regarding registrations. Please note that our request for Continuing Legal Education status in New York is currently pending. We look forward to seeing you in December. Liane Walker Legal Projects Coordinator Enclosure