

**MEMO# 11788**

April 5, 2000

## **APRIL 13TH MEETING AGENDA -- PROPOSED AMENDMENTS TO FORM ADV**

[11788] April 5, 2000 TO: INVESTMENT ADVISERS COMMITTEE No. 11-00 RE: APRIL 13TH MEETING AGENDA -- PROPOSED AMENDMENTS TO FORM ADV

\_\_\_\_\_ The SEC today voted to publish for comment significant revisions to Form ADV. In view of the SEC's action, the Investment Advisers Committee meeting scheduled for next Thursday, April 13th at 1:30 p.m. will be primarily devoted to discussing the Commission's proposal. We will circulate the proposed amendments to you as soon as they are publicly available. Robert E. Plaze, Associate Director of the SEC's Division of Investment Management, will attend the meeting to discuss the revisions and other issues of concern to members. Please note that the comment period on the SEC's proposal is only 60 days, so the upcoming Committee meeting will likely be our only opportunity to meet as a group on the proposal prior to the Institute's filing a comment letter. If you plan to attend the April 13th meeting and have not already done so, please contact Deborah Washington at 202-326-5818 no later than Monday, April 10th. Tamara K. Reed Associate Counsel

---

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.