

MEMO# 19373

November 18, 2005

CONFERENCE CALL SCHEDULED FOR THURSDAY, DECEMBER 1 AT 4:00 PM TO DISCUSS FORM LM-10 GUIDANCE

©2005 Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice. [19373] November 18, 2005 TO: COMPLIANCE ADVISORY COMMITTEE No. 56-05 INVESTMENT ADVISERS COMMITTEE No. 14-05 PENSION COMMITTEE No. 39-05 PENSION OPERATIONS ADVISORY COMMITTEE No. 32-05 RE: CONFERENCE CALL SCHEDULED FOR THURSDAY, DECEMBER 1, 2005 AT 4:00 PM EDT TO DISCUSS FORM LM-10 GUIDANCE The Institute has scheduled a conference call for Thursday, December 1, at 4:00 p.m. Eastern time to discuss recent Department of Labor guidance on the applicability of Form LM- 10 under the Labor-Management Reporting and Disclosure Act (LMRDA) to service providers to union-affiliated retirement plans.¹ The purpose of the call will be to identify and discuss issues members are or anticipate having in complying with LM-10 reporting requirements for fiscal years 2005 and 2006. If you would like to participate in this call, please complete the attached response form and fax it to Brenda Turner at (202) 326-5841 or e-mail it to bturner@ici.org by noon on Wednesday, November 30. To participate in the call, please dial 1-888-889-6348 and enter passcode 40247. If you have any questions about the call, please contact the undersigned at (202) 326-5810 or mhadley@ici.org. Michael L. Hadley Assistant Counsel Attachment (in .pdf format) 1 See Memorandum to Compliance Advisory Committee No. 55-05, Investment Adviser Members No. 22-05, Investment Adviser Associate Members No. 17-05 and Pension Members No. 53-05 [19361], dated November 11, 2005. The guidance is available at http://www.dol.gov/esa/regs/compliance/olms/LM10_FAQ.htm.

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