

MEMO# 15069

August 26, 2002

MONEY LAUNDERING COMPLIANCE WORKSHOPS PRELIMINARY PROGRAM

[15069] August 26, 2002 TO: BROKER/DEALER ADVISORY COMMITTEE No. 32-02 COMPLIANCE ADVISORY COMMITTEE No. 64-02 INTERNAL AUDIT ADVISORY COMMITTEE No. 8-02 INTERNATIONAL MEMBERS No. 24-02 INTERNATIONAL OPERATIONS ADVISORY COMMITTEE No. 34-02 MONEY LAUNDERING RULES WORKING GROUP No. 48-02 OPERATIONS MEMBERS No. 24-02 PRIMARY CONTACTS - MEMBER COMPLEX No. 69-02 SEC RULES MEMBERS No. 67-02 SMALL FUNDS MEMBERS No. 31-02 TRANSFER AGENT ADVISORY COMMITTEE No. 73-02 RE: ANTI-MONEY LAUNDERING COMPLIANCE WORKSHOPS - PRELIMINARY PROGRAM The Investment Company Institute has scheduled a series of Money Laundering Compliance Workshops in two cities this fall. The first workshop will be held in Boston on Wednesday, November 6, 2002. The second workshop will be held in San Francisco on Friday, November 8, 2002. Enclosed is the preliminary program. These workshops will be designed to assist mutual funds' legal, compliance and operations personnel in understanding the issues involved in implementing new SEC and Treasury anti-money laundering regulations. To register for the conference, please return the registration form and the appropriate fee to the Institute's Conference Division. The registration deadlines are October 23, 2002, for the Boston workshop and October 25, 2002, for the San Francisco workshop. Registration for these workshops will be limited to 100 registrants in Boston and 60 in San Francisco, so it is important to register as early as possible. Only Institute committee members or employees of Institute members may attend. To make a hotel reservation, please call the hotel directly. The address, telephone number, and registration cut-off date for each hotel is listed below. In order to receive the special room rate that has been arranged at the hotel you must mention the Investment Company Institute when making your reservation. 2 Boston, MA Omni Parker House Wednesday, November 6 60 School Street Boston, MA 02108 Phone: 617/227-8600 Guest Fax: 617/742-5729 Room Rates: \$169.00 single/double Hotel Cut-off: October 15, 2002 San Francisco, CA Park Hyatt San Francisco Friday, November 8 333 Battery Street San Francisco, CA 94111 Phone: 415/392-1234 Guest Fax: 415/421-2433 Room Rates: \$175.00 single/\$200 double Hotel Cut-off: October 17, 2002 Note: The group rates and the availability of guestrooms cannot be guaranteed after the cut-off date. Discount airfare is available through U.S. Airways. Please call 877/874-7687 (8:00 am - 9:30 pm, Eastern Time). Refer to Gold File #73172255. The discounted airfare is available three days before and after the program dates. The Institute has also negotiated discounted car rates through Avis. To obtain the discount information, call 800/331-1600 (24 hours a day, 7 days a week) and refer to AWD #J097768. The discounted rates are available seven days before and after program dates. For additional information, please call me at 202/371-5405 or the Institute's Conference Division at 202/326-5968. Gail Robinson Legal Projects Assistant Enclosure; Boston or San Francisco

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.