

**MEMO# 11364**

November 1, 1999

# **REGISTER NOW FOR THE U.S. FINANCIAL SERVICES COORDINATION AND COMMUNICATION CENTER - YEAR 2000 STATUS DATA**

[11364] November 1, 1999 TO: PRIMARY CONTACTS - MEMBER COMPLEX No. 96-99 SEC RULES COMMITTEE No. 86-99 OPERATIONS COMMITTEE No. 39-99 BROKER/DEALER ADVISORY COMMITTEE No. 28-99 BANK AND TRUST ADVISORY COMMITTEE No. 23-99 TRANSFER AGENT ADVISORY COMMITTEE No. 65-99 SMALL FUNDS COMMITTEE No. 16-99 TECHNOLOGY TASK FORCE MUTUAL FUND YEAR 2000 PROJECT LEADERS (See Attached Distribution) RE: REGISTER NOW FOR THE U.S. FINANCIAL SERVICES COORDINATION AND COMMUNICATION CENTER - YEAR 2000 STATUS DATA

The Securities Industry Association is developing a Year 2000 Financial Services Coordination and Communication Center ("FSCCC") that will serve as the central resource of standardized information regarding the status of the securities industry during the millennium crossover. The FSCCC will gather information from the exchanges and utilities, payment systems, regulators, and other sources and make the information available to all registered participants through a secure website and telephone message system. Between December 15, 1999 and January 7, 2000 the FSCCC website will provide Health Check Reports submitted by the exchanges, utilities, payment systems, market data vendors, city infrastructure command centers and others. The FSCCC will also have a Bulletin Board Service broadcasting real-time transition weekend information to registered participants. Both the Health Checks and Bulletin Board are accessible for registered users only via the SIA's Contingency Planning website ([www.sia2k.com/contingency](http://www.sia2k.com/contingency)). The Investment Company Institute is pleased to announce that access to the FSCCC is now available for Institute members. Registration is strongly encouraged so that members can access the website facilities for status updates during the transition to the year 2000. By registering now, firms can also access various contingency planning and event management documents available only to registered participants. To register, go to [www.sia2k.com/contingency](http://www.sia2k.com/contingency) and follow the instructions. Registration must be complete by December 1, 1999. Each member complex or affiliated entity can register only once and must designate one primary contact. Within each entity the primary contact can allow designated individuals to use a firm-level password for access or can register additional users who will be assigned their own password. If your firm participated in the Industry Wide Test, Stock Loan Test or Market Data Test, then your firm is already registered on the Contingency Planning website. In this case, your firm's Y2K Coordinator is the "default" Contingency Planning Coordinator. If this is not correct, contact the SIA Help Desk (1-888-

Y2K-4-SI) or email Y2KHELP@SIA.COM. For further information on the FSCCC, please refer to the most recent version of the "Year 2000 U.S. Financial Services Coordination and Communication Center Work In Progress" located under "Public - Documents" on the above referenced website. The SIA is sponsoring a Year 2000 Transition Conference November 12, 1999 in New York City (see [www.sia.com](http://www.sia.com) for registration information). Representatives from participating exchanges, financial utilities, and SIA subcommittees will be discussing final contingency plans, and coordination and communication center operations. Important information regarding the location, contact, staffing and operating hours of the FSCCC will also be discussed. If you have any questions regarding the above, please contact Kathy Joaquin at the Institute (phone: 202-326-5930 or email: [kjoaquin@ici.org](mailto:kjoaquin@ici.org)), or the undersigned (phone: 202-326- 5845 or email: [boteler@ici.org](mailto:boteler@ici.org)). Donald J. Boteler Vice President Operations & Training

---

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.