

MEMO# 11461

December 10, 1999

CONFERENCE CALL ON DOL SMALL PLAN ASSET REGULATION - FRIDAY, DECEMBER 17, 1999 - 1:30 P.M. EST

1 See Investment Company Institute Memorandum to Pension Committee No. 68-99 and Pension Operations Advisory Committee No. 54-99, dated December 1, 1999. [11461]
December 10, 1999 TO: PENSION COMMITTEE No. 70-99 PENSION OPERATIONS ADVISORY COMMITTEE No. 56-99 RE: CONFERENCE CALL ON DOL SMALL PLAN ASSET REGULATION - FRIDAY, DECEMBER 17, 1999 - 1:30 P.M. EST

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Department of Labor recently released proposed regulations that provide guidance on the circumstances under which small pension plans are exempt from the audit requirement under ERISA.¹ Comments on the proposed regulations are due by January 31, 2000. The Institute is planning to submit a comment letter on these proposed regulations. Therefore, we have scheduled a conference call for Friday, December 17, 1999 at 1:30 p.m. EST to discuss any issues related to the small plan asset proposed regulations. If you plan to participate in the December 17 conference call, please complete the sign-up form below and fax it to Melanie Washington at (202) 326-5841 by COB Wednesday, December 15. Please call me at (202) 218-3563 or Russ Galer at (202) 326-5835 if you have any questions or comments concerning the call. Kathryn A. Ricard Associate Counsel

Attendance Form for Institute's Conference Call on DOL Small Plan Asset Regulation Yes, I will participate on the Conference Call regarding the DOL Small Plan Asset Regulation on Friday, December 17th at 1:30 p.m. EST. To participate in the call, dial 1-800-523-5415 and ask for the DOL Small Plan Asset Regulation, Confirmation #2660525.

Committee Member Company Phone